EXTENDED TO NOVEMBER 15, 2022 **Exempt Organization Business Income Tax Return** Form 990-T OMB No. 1545-0047 (and proxy tax under section 6033(e)) For calendar year 2021 or other tax year beginning ► Go to www.irs.gov/Form990T for instructions and the latest information. Department of the Treasury ▶ Do not enter SSN numbers on this form as it may be made public if your organization is a 501(c)(3). Internal Revenue Service Check box if Name of organization ( Check box if name changed and see instructions.) address changed. 71-0285871 **B** Exempt under section Print THE WINTHROP ROCKEFELLER FOUNDATION Group exemption number (see instructions) X 501(c)(3 Number, street, and room or suite no. If a P.O. box, see instructions. Type 408(e) 220(e) 225 EAST MARKHAM STREET, 200 408A ]530(a) City or town, state or province, country, and ZIP or foreign postal code ]529(a) [ LITTLE ROCK, AR 72201 529A Check box if 169,499,273. C Book value of all assets at end of year ...... an amended return. Check organization type ► X 501(c) corporation 501(c) trust 401(a) trust Other trust Claim credit from Form 8941 Claim a refund shown on Form 2439 Check if filing only to Check if a 501(c)(3) organization filing a consolidated return with a 501(c)(2) titleholding corporation Enter the number of attached Schedules A (Form 990-T) 1 During the tax year, was the corporation a subsidiary in an affiliated group or a parent-subsidiary controlled group? Yes If "Yes," enter the name and identifying number of the parent corporation. The books are in care of ► ANDREA M. DOBSON (501) 376-6854 Telephone number **Total Unrelated Business Taxable Income** Total of unrelated business taxable income computed from all unrelated trades or businesses (see instructions) 1 2 Reserved 2 3 3 Add lines 1 and 2 Charitable contributions (see instructions for limitation rules) 4 4 Total unrelated business taxable income before net operating losses. Subtract line 4 from line 3 5 5 0. Deduction for net operating loss. See instructions 6 6 Total of unrelated business taxable income before specific deduction and section 199A deduction. 7 7 Subtract line 6 from line 5 1,000. Specific deduction (generally \$1,000, but see instructions for exceptions) 8 8 9 **Trusts.** Section 199A deduction. See instructions 9 10 1,000. Total deductions. Add lines 8 and 9 10 Unrelated business taxable income. Subtract line 10 from line 7. If line 10 is greater than line 7, 11 enter zero **Tax Computation** Organizations taxable as corporations. Multiply Part I, line 11 by 21% (0.21) 1 Trusts taxable at trust rates. See instructions for tax computation. Income tax on the amount on Schedule D (Form 1041) Tax rate schedule or Part I, line 11 from: 2 Proxy tax. See instructions 3 3 Other tax amounts. See instructions 4 4 Alternative minimum tax (trusts only) 5 5 6 Tax on noncompliant facility income. See instructions 6

Form 990-T (2021)

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Total. Add lines 3 through 6 to line 1 or 2, whichever applies

For Paperwork Reduction Act Notice, see instructions.

Part I	II Tax and Payments							
1a	Foreign tax credit (corporations attach Form	1118; trusts attach For	m 1116)	1a				
	Other credits (see instructions)							
	General business credit. Attach Form 3800 (s							
	Credit for prior year minimum tax (attach Forr							
	Total credits. Add lines 1a through 1d					1e		
	Culphysiat line de frame Deut II. line 7					2		0.
		n 4255 Form 8						
	Othe	er (attach statement)				3		
4	Total tax. Add lines 2 and 3 (see instructions							
	section 1294. Enter tax amount here		=	-		4		0.
	Current net 965 tax liability paid from Form 96					5		0.
	Payments: A 2020 overpayment credited to 2				2,850			
	2021 estimated tax payments. Check if section			6b				
С	Tax deposited with Form 8868			6c				
d	Foreign organizations: Tax paid or withheld at	t source (see instructio	ns)	. 6d				
е	Backup withholding (see instructions)			6e				
	Credit for small employer health insurance pro							
g	Other credits, adjustments, and payments:	Form 2439		_				
	Form 4136	Other	Total	► 6g				
7	Total payments. Add lines 6a through 6g				<u></u>	7	2,8	<u>50.</u>
	Estimated tax penalty (see instructions). Chec				▶ ∟	<b>」</b> 8		
	Tax due. If line 7 is smaller than the total of li					9		
	Overpayment. If line 7 is larger than the total					10	2,8	50.
	Enter the amount of line 10 you want: Credit					· 11		0.
Part I				-	· · · · · · · · · · · · · · · · · · ·			
	At any time during the 2021 calendar year, di						Yes	No
	over a financial account (bank, securities, or o							
	FinCEN Form 114, Report of Foreign Bank an	d Financial Accounts.	If "Yes," enter the	e name of t	the foreign country	/		l
	here							X
	During the tax year, did the organization rece		-					
	foreign trust?							X
	If "Yes," see instructions for other forms the o							
	Enter the amount of tax-exempt interest recei						_	
	Enter available pre-2018 NOL carryovers here				· ·	•		
	shown on Schedule A (Form 990-T). Don't rec					art I, line 4.		
	Post-2017 NOL carryovers. Enter available Bu	•	•	-				
	the amounts shown below by any NOL claims		Part II, line 17 for					
	Business Activ				ole post-2017 NOL			
	52:	5990		\$		40,833	•	
	Bill i i i i i i i i i i i i i i i i i i	0/		\$				₩.
	Did the organization change its method of actions and the control of the control	• ,	,		44000 15 11 11 11			X
	If 6a is "Yes," has the organization described	the change on Form 9	90, 990-EZ, 990-F	r, or Form	1 1128? If "No,"			
Part \	explain in Part V							
		la a constituit a constituit and a const		-11 0				
Provide	the explanation required by Part IV, line 6b. A	also, provide any other	additional informa	ation. See	instructions.			
	Under penalties of perjury, I declare that I have examine	d this return, including accomp	anying schedules and	statements, an	d to the best of my know	ledge and belief, it	is true,	
Sign	correct, and complete. Declaration of preparer (other that	an taxpayer) is based on all info	rmation of which prepa	rer has any kn	owledge.			
Here			COFO			May the IRS discu the preparer show		with
	Signature of officer	Date	COFO Title			instructions)?		No
	Print/Type preparer's name	Preparer's signature	T <sub>1</sub>	Date	Check	if PTIN		
Dv:Ч			'		self- employe			
Paid	rer MARIA K. BEAN, CPA				Son omploye		41762	
Prepa	- TANDMADIC DI	C, CPAS			Firm's EIN		35526	
Use O		MARKHAM, SUI	TE 500		THE SERVE			-
						501-375	-2025	

FORM 990-T	PRE-201	8 NET OPERATING	LOSS DEDUCTION	STATEMENT 23
TAX YEAR	LOSS SUSTAINED	LOSS PREVIOUSLY APPLIED	LOSS REMAINING	AVAILABLE THIS YEAR
12/31/11 12/31/12	7,476. 39,722.	5,549.	1,927. 39,722.	1,927. 39,722.
NOL CARRYOV	ER AVAILABLE THIS	YEAR	41,649.	41,649.

### SCHEDULE A (Form 990-T)

## **Unrelated Business Taxable Income From an Unrelated Trade or Business**

OMB No. 1545-0047

**2021** 

Onen to Public Inspection :

Department of the Treasury Internal Revenue Service

Name of the organization

THE WINTHROP ROCKEFELLER FOUNDATION

► Go to www.irs.gov/Form990T for instructions and the latest information.

▶ Do not enter SSN numbers on this form as it may be made public if your organization is a 501(c)(3).

Open to Public Inspection for 501(c)(3) Organizations Only

B Employer identification number

71-0285871

Unrelated business activity code (see instructions) > 52	<b>D</b> Sequence: 1	. of 1		
Describe the unrelated trade or business   INVESTMEN	ITS	Т	Т	
Part I Unrelated Trade or Business Income		(A) Income	(B) Expenses	(C) Net
1a Gross receipts or sales				
b Less returns and allowances c Balan	nce ▶ 1c			
2 Cost of goods sold (Part III, line 8)	-			
3 Gross profit. Subtract line 2 from line 1c				
4a Capital gain net income (attach Sch D (Form 1041 or Form				
1120)). See instructions	4a	157,646.		
<b>b</b> Net gain (loss) (Form 4797) (attach Form 4797). See instructi				
c Capital loss deduction for trusts	4c			
5 Income (loss) from a partnership or an S corporation (attach				
statement) STATEMENT 24	5	-168,718.		
6 Rent income (Part IV)				
7 Unrelated debt-financed income (Part V)				
8 Interest, annuities, royalties, and rents from a controlled				
organization (Part VI)	8			
<b>9</b> Investment income of section 501(c)(7), (9), or (17)				
organizations (Part VII)	9			
Exploited exempt activity income (Part VIII)	10			
1 Advertising income (Part IX)	11			
2 Other income (see instructions; attach statement)				
3 Total. Combine lines 3 through 12	13	-11,072.		-11,072
Part II Deductions Not Taken Elsewhere See inst		limitations on ded	uctions. Deductions	must be
directly connected with the unrelated busine	ess income			
1 Compensation of officers, directors, and trustees (Part X)			1	
2 Salaries and wages				
3 Repairs and maintenance				
4 Bad debts				
5 Interest (attach statement). See instructions				
6 Taxes and licenses				
7 Depreciation (attach Form 4562). See instructions				
8 Less depreciation claimed in Part III and elsewhere on return			8b	
9 Depletion				
O Contributions to deferred compensation plans			10	
1 Employee benefit programs				
2 Excess exempt expenses (Part VIII)			12	
3 Excess readership costs (Part IX)			13	
4 Other deductions (attach statement)				
				0
6 Unrelated business income before net operating loss deduct				
column (C)			·	-11,072
7 Deduction for net operating loss. See instructions			17	0
8 Unrelated business taxable income. Subtract line 17 from				-11,072
HA For Paperwork Reduction Act Notice, see instructions.		e A (Form 990-T) 202		

#### **SCHEDULE D** (Form 1120)

Department of the Treasury Internal Revenue Service

Capital Gains and Losses

▶ Attach to Form 1120, 1120-C, 1120-F, 1120-FSC, 1120-H, 1120-IC-DISC, 1120-L, 1120-ND, 1120-PC, 1120-POL, 1120-REIT, 1120-RIC, 1120-SF, or certain Forms 990-T.

▶ Go to www.irs.gov/Form1120 for instructions and the latest information.

OMB No. 1545-0123

Name

Employer identification number

THE WINTHROP ROCKE	71-	0285871			
Did the corporation dispose of any investmen		Yes X No			
If "Yes," attach Form 8949 and see its instru-					
Part I Short-Term Capital Gai	ins and Losses - Ass	ets Held One Year	or Less		
See instructions for how to figure the amounts to enter on the lines below.  This form may be easier to complete if you	<b>(d)</b> Proceeds (sales price)	(g) Adjustments to ga or loss from Form(s) 89 Part I, line 2, column	949,	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (a)	
round off cents to whole dollars.  1a Totals for all short-term transactions					result with column (g)
reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions). However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 1b					
<b>1b</b> Totals for all transactions reported on					
Form(s) 8949 with <b>Box A</b> checked					
2 Totals for all transactions reported on Form(s) 8949 with Box B checked					
3 Totals for all transactions reported on					
Form(s) 8949 with <b>Box C</b> checked					-3,355.
4 Short-term capital gain from installment sales	from Form 6252, line 26 or 3	7		4	
5 Short-term capital gain or (loss) from like-kind				5	
6 Unused capital loss carryover (attach computa	ation)			6	(
7 Net short-term capital gain or (loss). Combin Part II   Long-Term Capital Gai	e lines 1a through 6 in column	h		7	-3,355.
Part II Long-Term Capital Gai	ns and Losses - Ass	ets Held More Tha	n One Year		
See instructions for how to figure the amounts to enter on the lines below.	(d) Proceeds	(e) Cost	(g) Adjustments to ga or loss from Form(s) 89		(h) Gain or (loss) Subtract column (e) from column (d) and combine the
This form may be easier to complete if you round off cents to whole dollars.	(sales price)	(or other basis)	Part II, line 2, column	(g)	result with column (g)
8a Totals for all long-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions). However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 8b					
8b Totals for all transactions reported on					
Form(s) 8949 with <b>Box D</b> checked					
9 Totals for all transactions reported on					
Form(s) 8949 with <b>Box E</b> checked					
10 Totals for all transactions reported on					
Form(s) 8949 with <b>Box F</b> checked					161,001.
<b>11</b> Enter gain from Form 4797, line 7 or 9				11	
12 Long-term capital gain from installment sales	from Form 6252, line 26 or 3	7		12	
13 Long-term capital gain or (loss) from like-kind	d exchanges from Form 8824			13	
				14	
15 Net long-term capital gain or (loss). Combine		n h		15	161,001.
Part III   Summary of Parts I and			,		Τ
16 Enter excess of net short-term capital gain (lin				16	
17 Net capital gain. Enter excess of net long-term	17	157,646.			

For Paperwork Reduction Act Notice, see the Instructions for Form 1120.

Note: If losses exceed gains, see Capital Losses in the instructions.

**18** Add lines 16 and 17. Enter here and on Form 1120, page 1, line 8, or the applicable line on other returns

Schedule D (Form 1120) 2021

157,646.

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# Form **8949**Department of the Treasury

Internal Revenue Service

Sales and Other Dispositions of Capital Assets

► Go to www.irs.gov/Form8949 for instructions and the latest information.
File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

OMB No. 1545-0074 **2021** 

Attachment Sequence No. 12A

Name(s) shown on return

Social security number or taxpayer identification no.

71-0285871

#### THE WINTHROP ROCKEFELLER FOUNDATION

Before you check Box A, B, or C below, see whether you received any Form(s) 1099-B or substitute statement(s) from your broker. A substitute statement will have the same information as Form 1099-B. Either will show whether your basis (usually your cost) was reported to the IRS by your broker and may even tell you which box to check.

| Dart | | Short-Term | Township | Short-Term | Short

Short-Term. Transactions involving capital assets you held 1 year or less are generally short-term (see instructions). For long-term Part I transactions, see page 2. Note: You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the totals directly on Schedule D, line 1a; you aren't required to report these transactions on Form 8949 (see instructions). You must check Box A, B, or C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see Note above) (B) Short-term transactions reported on Form(s) 1099-B showing basis wasn't reported to the IRS X (C) Short-term transactions not reported to you on Form 1099-B Adjustment, if any, to gain or 1 (d) (h) (a) (c) (e) loss. If you enter an amount Proceeds Description of property Date acquired Date sold or Cost or other Gain or (loss). in column (g), enter a code in (sales price) Subtract column (e) basis. See the (Example: 100 sh. XYZ Co.) (Mo., day, yr.) disposed of column (f). See instructions. Note below and from column (d) & (Mo., day, yr.) (g) Amount of adjustment combine the result see *Column (e*) ir Code(s) with column (g) the instructions PRESERVER, L.P. -3,355. 2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B -3,355.above is checked), or line 3 (if Box C above is checked)

**Note:** If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See *Column* (g) in the separate instructions for how to figure the amount of the adjustment.

Name(s) shown on return. Name and SSN or taxpayer identification no. not required if shown on page 1

Social security number or taxpayer identification no.

#### THE WINTHROP ROCKEFELLER FOUNDATION

71-0285871

C

Before you check Box D, E, or F bell statement will have the same inform broker and may even tell you which	ow, see whether y ation as Form 109 box to check	you received any 99-B. Either will s	r Form(s) 1099-B o show whether you	r substitute statem r basis (usually you	ent(s) from r cost) was	n your broker. A substreeported to the IF	bstitute S by your
Part II Long-Term. Transacti see page 1.	ons involving capita	al assets you held r	nore than 1 year are	generally long-term (s	ee instructio	ons). For short-term to	ansactions,
Note: You may aggregate a codes are required. Enter the	e totals directly on S	Schedule D, line 8a	a; you aren't required	to report these transa	actions on F	orm 8949 (see instru	ctions).
You must check Box D, E, or F below. If you have more long-term transactions than will	Check only one bo I fit on this page for one	<ul> <li>If more than one be or more of the boxes</li> </ul>	ox applies for your long- , complete as many form	term transactions, compl is with the same box chec	ete a separate :ked as you ne	Form 8949, page 2, for eled.	each applicable box.
(D) Long-term transactions re		•	-	•	Note abo	ove)	
(E) Long-term transactions rep			-	ported to the IRS			
X (F) Long-term transactions no	1			T	Adiustman	at if any to gain ar	
Description of property (Example: 100 sh. XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed of (Mo., day, yr.)	(d) Proceeds (sales price)	(e) Cost or other basis. See the Note below and	loss. If you in column column (f)	nt, if any, to gain or ou enter an amount (g), enter a code in ). See instructions.	(h) Gain or (loss). Subtract column (e) from column (d) &
		(ivio., day, yi.)		see Column (e) in the instructions	(f) Code(s)	(g) Amount of adjustment	combine the result with column (g)
PRESERVER, L.P.						aujustinent	696.
BAIN CAPITAL							
DOUBLE IMPACT							160,305.
	ļ						
	1 (8 ( ) ( )	1427					
2 Totals. Add the amounts in columns are the amounts. Enter each to							
negative amounts). Enter each to		•					
Schedule D, <b>line 8b</b> (if <b>Box D</b> ab above is checked), or <b>line 10</b> (if <b>l</b>							161,001.
Note: If you checked Box D above b		•	was incorrect, ent	er in column (e) the	hasis as r	reported to the IRS	

adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment. Form **8949** (2021)

FORM 990-T	(A) INCOM	ME (LOSS) FROM PA	ARTNERSHIPS	STATEMENT 24
DESCRIPTION	ı			NET INCOME OR (LOSS)
PRESERVER, RETHINK IME RETHINK IME BASE10 ADVA BAIN CAPITA	-167,671 26 -501 -1,073			
TOTAL INCLU	-168,718.			
990-T SCH A	LOSS DEDUCTION	STATEMENT 25		
TAX YEAR	AVAILABLE THIS YEAR			
12/31/18 12/31/19 12/31/20	7,479. 6,033. 27,321.			
NOL CARRYO	VER AVAILABLE THIS	40,833.	40,833.	

#### **SCHEDULE D** (Form 1120)

Department of the Treasury Internal Revenue Service

Capital Gains and Losses

▶ Attach to Form 1120, 1120-C, 1120-F, 1120-FSC, 1120-H, 1120-IC-DISC, 1120-L, 1120-ND, 1120-PC, 1120-POL, 1120-REIT, 1120-RIC, 1120-SF, or certain Forms 990-T.

▶ Go to www.irs.gov/Form1120 for instructions and the latest information.

OMB No. 1545-0123

Name

Employer identification number

THE WINTHROP ROCKE	71-	0285871			
Did the corporation dispose of any investmen		Yes X No			
If "Yes," attach Form 8949 and see its instru-					
Part I Short-Term Capital Gai	ins and Losses - Ass	ets Held One Year	or Less		
See instructions for how to figure the amounts to enter on the lines below.  This form may be easier to complete if you	<b>(d)</b> Proceeds (sales price)	(g) Adjustments to ga or loss from Form(s) 89 Part I, line 2, column	949,	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (a)	
round off cents to whole dollars.  1a Totals for all short-term transactions					result with column (g)
reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions). However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 1b					
<b>1b</b> Totals for all transactions reported on					
Form(s) 8949 with <b>Box A</b> checked					
2 Totals for all transactions reported on Form(s) 8949 with Box B checked					
3 Totals for all transactions reported on					
Form(s) 8949 with <b>Box C</b> checked					-3,355.
4 Short-term capital gain from installment sales	from Form 6252, line 26 or 3	7		4	
5 Short-term capital gain or (loss) from like-kind				5	
6 Unused capital loss carryover (attach computa	ation)			6	(
7 Net short-term capital gain or (loss). Combin Part II   Long-Term Capital Gai	e lines 1a through 6 in column	h		7	-3,355.
Part II Long-Term Capital Gai	ns and Losses - Ass	ets Held More Tha	n One Year		
See instructions for how to figure the amounts to enter on the lines below.	(d) Proceeds	(e) Cost	(g) Adjustments to ga or loss from Form(s) 89		(h) Gain or (loss) Subtract column (e) from column (d) and combine the
This form may be easier to complete if you round off cents to whole dollars.	(sales price)	(or other basis)	Part II, line 2, column	(g)	result with column (g)
8a Totals for all long-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions). However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 8b					
8b Totals for all transactions reported on					
Form(s) 8949 with <b>Box D</b> checked					
9 Totals for all transactions reported on					
Form(s) 8949 with <b>Box E</b> checked					
10 Totals for all transactions reported on					
Form(s) 8949 with <b>Box F</b> checked					161,001.
<b>11</b> Enter gain from Form 4797, line 7 or 9				11	
12 Long-term capital gain from installment sales	from Form 6252, line 26 or 3	7		12	
13 Long-term capital gain or (loss) from like-kind	d exchanges from Form 8824			13	
				14	
15 Net long-term capital gain or (loss). Combine		n h		15	161,001.
Part III   Summary of Parts I and			,		Τ
16 Enter excess of net short-term capital gain (lin				16	
17 Net capital gain. Enter excess of net long-term	17	157,646.			

For Paperwork Reduction Act Notice, see the Instructions for Form 1120.

Note: If losses exceed gains, see Capital Losses in the instructions.

**18** Add lines 16 and 17. Enter here and on Form 1120, page 1, line 8, or the applicable line on other returns

Schedule D (Form 1120) 2021

157,646.

LHA

Department of the Treasury Internal Revenue Service

Sales and Other Dispositions of Capital Assets

► Go to www.irs.gov/Form8949 for instructions and the latest information. File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

OMB No. 1545-0074

Name(s) shown on return

Social security number or taxpayer identification no.

71-0285871

### THE WINTHROP ROCKEFELLER FOUNDATION Before you check Box A, B, or C below, see whether you received any Form(s) 1099-B or substitute statement(s) from your broker. A substitute statement will have the same information as Form 1099-B. Either will show whether your basis (usually your cost) was reported to the IRS by your

broker and may even tell you which box to check. Short-Term. Transactions involving capital assets you held 1 year or less are generally short-term (see instructions). For long-term Part I transactions, see page 2. Note: You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the totals directly on Schedule D, line 1a; you aren't required to report these transactions on Form 8949 (see instructions). If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need

You must check Box A, B, or C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see Note above) (B) Short-term transactions reported on Form(s) 1099-B showing basis wasn't reported to the IRS X (C) Short-term transactions not reported to you on Form 1099-B Adjustment, if any, to gain or 1 (d) (h) (a) (c) (e) loss. If you enter an amount Proceeds Description of property Date acquired Date sold or Cost or other Gain or (loss). in column (g), enter a code in (sales price) Subtract column (e) basis. See the (Example: 100 sh. XYZ Co.) (Mo., day, yr.) disposed of column (f). See instructions. Note below and from column (d) & (Mo., day, yr.) (g) Amount of adjustment combine the result see *Column (e*) ir Code(s) with column (g) the instructions PRESERVER, L.P. <3,355.> 2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B

Note: If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

<3,355.>

above is checked), or line 3 (if Box C above is checked)

Form 8949 (2021) Attachment Sequence No. 12A Pa

Name(s) shown on return. Name and SSN or taxpayer identification no. not required if shown on page 1

Social security number or taxpayer identification no.

#### THE WINTHROP ROCKEFELLER FOUNDATION

71-0285871

Before you check Box D, E, or F belo statement will have the same informa broker and may even tell you which b	box to check.						
Part II Long-Term. Transactic see page 1. Note: You may aggregate all codes are required. Enter the	I long-term transact	tions reported on F	orm(s) 1099-B showi	ng basis was reported	d to the IRS	and for which no adj	ustments or
You must check Box D, E, or F below.	Check only one bo	x. If more than one b	ox applies for your long-	term transactions, compl	ete a separate	Form 8949, page 2, for e	
If you have more long-term transactions than will  (D) Long-term transactions rep	· -				=		
(E) Long-term transactions rep	-		-	·	NOTE and	ove)	
X (F) Long-term transactions not	· ·		~	ported to the mo			
1 (a) Description of property (Example: 100 sh. XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed of (Mo., day, yr.)	(d) Proceeds (sales price)	(e) Cost or other basis. See the Note below and see Column (e) in	lośs. If y in column	nt, if any, to gain or ou enter an amount (g), enter a code in ). See instructions.	(h) Gain or (loss). Subtract column (e) from column (d) & combine the result
				the instructions	Code(s)	Amount of adjustment	with column (g)
PRESERVER, L.P.						aajaatiiiaiit	696.
BAIN CAPITAL							
DOUBLE IMPACT							160,305.
							,
	1			1			
2 Totals. Add the amounts in colur	nns (d). (e). (a). a	nd (h) (subtract					
negative amounts). Enter each to							
Schedule D, <b>line 8b</b> (if <b>Box D</b> abo							
above is checked), or <b>line 10</b> (if <b>E</b>							161,001.
Note: If you checked Box D above b			was incorrect, ent	er in column (e) the	basis as ı	reported to the IRS	s, and enter an