

Form **990-PF**Department of the Treasury  
Internal Revenue Service**Return of Private Foundation**

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.

▶ Go to [www.irs.gov/Form990PF](http://www.irs.gov/Form990PF) for instructions and the latest information.

OMB No. 1545-0047

**2019**

Open to Public Inspection

For calendar year 2019 or tax year beginning , and ending

Name of foundation <b>THE WINTHROP ROCKEFELLER FOUNDATION</b>		A Employer identification number <b>71-0285871</b>
Number and street (or P.O. box number if mail is not delivered to street address) <b>225 EAST MARKHAM STREET</b>	Room/suite <b>200</b>	B Telephone number <b>(501) 376-6854</b>
City or town, state or province, country, and ZIP or foreign postal code <b>LITTLE ROCK, AR 72201</b>		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <div style="display: flex; justify-content: space-between;"> <div> <input type="checkbox"/> Initial return  <input type="checkbox"/> Final return  <input type="checkbox"/> Address change         </div> <div> <input type="checkbox"/> Initial return of a former public charity  <input type="checkbox"/> Amended return  <input type="checkbox"/> Name change         </div> </div>		D 1. Foreign organizations, check here <input type="checkbox"/>
H Check type of organization: <div style="display: flex; justify-content: space-between;"> <div> <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation  <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust         </div> <div> <input type="checkbox"/> Other taxable private foundation         </div> </div>		2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ <b>146,819,862.</b>	J Accounting method: <div style="display: flex; justify-content: space-between;"> <div> <input type="checkbox"/> Cash  <input type="checkbox"/> Other (specify) _____         </div> <div> <input checked="" type="checkbox"/> Accrual         </div> </div>	E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
(Part I, column (d), must be on cash basis.)		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
<b>Revenue</b>	1 Contributions, gifts, grants, etc., received	0.			
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments	552,264.	598,689.		STATEMENT 2
	4 Dividends and interest from securities	1,106,132.	1,581,395.		STATEMENT 3
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	3,192,692.			STATEMENT 1
	b Gross sales price for all assets on line 6a	19,303,822.			
	7 Capital gain net income (from Part IV, line 2)		4,246,695.		
	8 Net short-term capital gain			N/A	
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less: Cost of goods sold					
c Gross profit or (loss)					
11 Other income	109,609.	-303,337.	0.	STATEMENT 4	
12 Total. Add lines 1 through 11	4,960,697.	6,123,442.	0.		
<b>Operating and Administrative Expenses</b>	13 Compensation of officers, directors, trustees, etc.	834,683.	119,472.	0.	715,211.
	14 Other employee salaries and wages	529,219.	0.	0.	529,219.
	15 Pension plans, employee benefits	461,560.	22,468.	0.	439,092.
	16a Legal fees STMT 5	19,323.	2,920.	0.	16,403.
	b Accounting fees STMT 6	62,717.	33,120.	0.	29,597.
	c Other professional fees STMT 7	730,746.	558,996.	0.	192,436.
	17 Interest	2.	2.	0.	0.
	18 Taxes STMT 8	111,764.	99,376.	0.	0.
	19 Depreciation and depletion	46,640.	0.	0.	
	20 Occupancy	133,828.	0.	0.	133,828.
	21 Travel, conferences, and meetings	76,322.	3,033.	0.	73,289.
	22 Printing and publications	37,886.	0.	0.	37,886.
	23 Other expenses STMT 9	844,920.	0.	0.	1,084,976.
	24 Total operating and administrative expenses. Add lines 13 through 23	3,889,610.	839,387.	0.	3,251,937.
	25 Contributions, gifts, grants paid	3,631,684.			2,137,027.
	26 Total expenses and disbursements. Add lines 24 and 25	7,521,294.	839,387.	0.	5,388,964.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	-2,560,597.				
b Net investment income (if negative, enter -0-)		5,284,055.			
c Adjusted net income (if negative, enter -0-)			0.		

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only.		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing .....			
	2 Savings and temporary cash investments .....	6,827,295.	5,951,389.	5,951,389.
	3 Accounts receivable ▶ 17,693.			
	Less: allowance for doubtful accounts ▶	73,913.	17,693.	17,693.
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable .....			
	6 Receivables due from officers, directors, trustees, and other disqualified persons .....			
	7 Other notes and loans receivable ▶ 13,536.			
	Less: allowance for doubtful accounts ▶ 0.	75,289.	13,536.	13,536.
	8 Inventories for sale or use .....			
	9 Prepaid expenses and deferred charges .....			
	10a Investments - U.S. and state government obligations .....			
	b Investments - corporate stock STMT 11	98,470,499.	115,688,015.	115,688,015.
	c Investments - corporate bonds STMT 12	17,343,057.	17,409,741.	17,409,741.
	11 Investments - land, buildings, and equipment: basis ▶ 639,687.			
Liabilities	Less: accumulated depreciation ▶ 338,525.	338,095.	301,162.	301,162.
	12 Investments - mortgage loans .....			
	13 Investments - other .....			
	14 Land, buildings, and equipment: basis ▶			
	Less: accumulated depreciation ▶			
	15 Other assets (describe ▶)	7,655,498.	7,438,326.	7,438,326.
	16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	130,783,646.	146,819,862.	146,819,862.
	17 Accounts payable and accrued expenses .....	703,945.	468,418.	
	18 Grants payable .....	1,142,837.	2,637,494.	
	19 Deferred revenue .....			
	20 Loans from officers, directors, trustees, and other disqualified persons .....			
	21 Mortgages and other notes payable .....			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	1,846,782.	3,105,912.	
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here ▶ <input checked="" type="checkbox"/> and complete lines 24, 25, 29, and 30.			
	24 Net assets without donor restrictions .....	128,936,864.	143,713,950.	
	25 Net assets with donor restrictions .....			
	Foundations that do not follow FASB ASC 958, check here ▶ <input type="checkbox"/> and complete lines 26 through 30.			
	26 Capital stock, trust principal, or current funds .....			
	27 Paid-in or capital surplus, or land, bldg., and equipment fund .....			
	28 Retained earnings, accumulated income, endowment, or other funds ...			
	29 Total net assets or fund balances .....	128,936,864.	143,713,950.	
	30 Total liabilities and net assets/fund balances .....	130,783,646.	146,819,862.	

## Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return) .....	1	128,936,864.
2 Enter amount from Part I, line 27a .....	2	-2,560,597.
3 Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 10	3	17,337,683.
4 Add lines 1, 2, and 3 .....	4	143,713,950.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 29 .....	6	143,713,950.

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**Part IV Capital Gains and Losses for Tax on Investment Income** SEE ATTACHED STATEMENT

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b			
c			
d			
e			
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
a			
b			
c			
d			
e	19,303,822.	15,057,127.	4,246,695.
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			
b			
c			
d			
e			4,246,695.
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2 4,246,695.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8			3 3,561,900.

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2018	4,942,505.	130,225,730.	.037953
2017	8,199,035.	126,532,909.	.064798
2016	7,852,817.	123,286,602.	.063696
2015	8,451,846.	134,529,115.	.062825
2014	6,509,196.	140,313,722.	.046390
2 Total of line 1, column (d)			2 .275662
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years			3 .055132
4 Enter the net value of noncharitable-use assets for 2019 from Part X, line 5			4 129,639,342.
5 Multiply line 4 by line 3			5 7,147,276.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 52,841.
7 Add lines 5 and 6			7 7,200,117.
8 Enter qualifying distributions from Part XII, line 4			8 5,388,964.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.  
See the Part VI instructions.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		1	105,681.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col. (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)		2	0.
3 Add lines 1 and 2		3	105,681.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	105,681.
6 Credits/Payments:			
a 2019 estimated tax payments and 2018 overpayment credited to 2019	6a	115,945.	
b Exempt foreign organizations - tax withheld at source	6b	0.	
c Tax paid with application for extension of time to file (Form 8868)	6c	0.	
d Backup withholding erroneously withheld	6d	0.	
7 Total credits and payments. Add lines 6a through 6d	7	115,945.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	0.	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	10,264.	
11 Enter the amount of line 10 to be: Credited to 2020 estimated tax	11	10,264.	Refunded

**Part VII-A Statements Regarding Activities**

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		N/A
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered. See instructions. AR		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the tax year beginning in 2019? See the instructions for Part XIV. If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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**Part VII-A** Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions	11	X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	12	X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X
Website address ► WWW.WRFOUNDATION.ORG/WWW.GUIDESTAR.ORG		
14 The books are in care of ► ANDREA M. DOBSON Telephone no. ► (501) 376-6854		
Located at ► 225 EAST MARKHAM STREET, STE 200, LITTLE ROCK, AR ZIP+4 ► 72201		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here	► <input type="checkbox"/> N/A	
16 At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	X
See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►		

**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year, did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions	1b	X
Organizations relying on a current notice regarding disaster assistance, check here	► <input type="checkbox"/>	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2019, did the foundation have any undistributed income (Part XIII, lines 6d and 6e) for tax year(s) beginning before 2019?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
If "Yes," list the years	► , , ,	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.	► , , ,	
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
b If "Yes," did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Form 4720, Schedule C, to determine if the foundation had excess business holdings in 2019.)	3b	X
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?	4b	X

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**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year, did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions ☒ Yes ☐ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions

Organizations relying on a current notice regarding disaster assistance, check here ☐c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? **SEE STATEMENT 15** ☒ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ Nob Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ Nob If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **N/A**8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year? ☐ Yes ☒ No**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, and foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
<b>SEE STATEMENT 14</b>		834,683.	142,590.	0.

**2** Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
CORY ANDERSON - 225 EAST MARKHAM STREET, STE 200, LITTLE ROCK, AR	CHIEF INNOVATION OFFICER 50.00	216,382.	36,243.	0.
ANDREW FORD - 225 EAST MARKHAM STREET, STE 200, LITTLE ROCK, AR	EQUITY OFFICER 40.00	106,261.	14,643.	0.
LISA DIXON - 225 EAST MARKHAM STREET, STE 200, LITTLE ROCK, AR	ORGANIZATIONAL EFFECTIVENESS ASSOC. 40.00	80,843.	20,784.	0.
MICHAEL CASTENS - 225 EAST MARKHAM STREET, STE 200, LITTLE ROCK, AR	GRANT AND OPERATIONS OFFICER 40.00	84,068.	16,785.	0.
SARAH MCBROOM - 225 EAST MARKHAM STREET, STE 200, LITTLE ROCK, AR	EQUITY OFFICER 40.00	78,292.	19,195.	0.

**Total** number of other employees paid over \$50,000 **1**

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
CAMBRIDGE ASSOCIATES 125 HIGH STREET, BOSTON, MA 02110-2112	INVESTMENT ADVISORY SERVICES	255,101.
THE NORTHERN TRUST COMPANY 5 SOUTH LASALLE STREET, CHICAGO, IL 60603	INVESTMENT CUSTODY & MANAGEMENT SERVICES	124,115.
RELATIONSHIPS MATTER NOW 1732 ASHLAND AVE UNIT 1, EVANSTON, IL 60201	COMMUNICATIONS	76,118.

Total number of others receiving over \$50,000 for professional services

0

**Part IX-A** Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 PHILANTHROPIC COLLABORATION - SEE STATEMENT 19	
	273,268.
2 ABCS OF EQUITY - SEE STATEMENT 19	
	251,008.
3 STRENGTHENING ARKANSAS NONPROFITS - SEE STATEMENT 19	
	244,116.
4 FORWARD ARKANSAS - SEE STATEMENT 19	
	147,844.

**Part IX-B** Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	

Total. Add lines 1 through 3

0.

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**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
<b>a</b>	Average monthly fair market value of securities .....	<b>1a</b>	126,685,931.
<b>b</b>	Average of monthly cash balances .....	<b>1b</b>	4,927,614.
<b>c</b>	Fair market value of all other assets .....	<b>1c</b>	
<b>d</b>	<b>Total</b> (add lines 1a, b, and c) .....	<b>1d</b>	131,613,545.
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) .....	<b>1e</b>	0.
<b>2</b>	Acquisition indebtedness applicable to line 1 assets .....	<b>2</b>	0.
<b>3</b>	Subtract line 2 from line 1d .....	<b>3</b>	131,613,545.
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions) .....	<b>4</b>	1,974,203.
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4 .....	<b>5</b>	129,639,342.
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5 .....	<b>6</b>	6,481,967.

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☐ and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6 .....	<b>1</b>	6,481,967.
<b>2a</b>	Tax on investment income for 2019 from Part VI, line 5 .....	<b>2a</b>	105,681.
<b>b</b>	Income tax for 2019. (This does not include the tax from Part VI.) .....	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b .....	<b>2c</b>	105,681.
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1 .....	<b>3</b>	6,376,286.
<b>4</b>	Recoveries of amounts treated as qualifying distributions .....	<b>4</b>	239,564.
<b>5</b>	Add lines 3 and 4 .....	<b>5</b>	6,615,850.
<b>6</b>	Deduction from distributable amount (see instructions) .....	<b>6</b>	0.
<b>7</b>	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1 .....	<b>7</b>	6,615,850.

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
<b>a</b>	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26 .....	<b>1a</b>	5,388,964.
<b>b</b>	Program-related investments - total from Part IX-B .....	<b>1b</b>	0.
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes .....	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the:		
<b>a</b>	Suitability test (prior IRS approval required) .....	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule) .....	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4 .....	<b>4</b>	5,388,964.
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b .....	<b>5</b>	0.
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4 .....	<b>6</b>	5,388,964.

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.



**Part XIII** Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7 .....				6,615,850.
2 Undistributed income, if any, as of the end of 2019:				
a Enter amount for 2018 only .....			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2019:				
a From 2014 .....				
b From 2015 .....	135,429.			
c From 2016 .....	1,698,611.			
d From 2017 .....	1,952,914.			
e From 2018 .....				
f Total of lines 3a through e .....	3,786,954.			
4 Qualifying distributions for 2019 from Part XII, line 4: ▶ \$ 5,388,964.				
a Applied to 2018, but not more than line 2a ...			0.	
b Applied to undistributed income of prior years (Election required - see instructions) ...		0.		
c Treated as distributions out of corpus (Election required - see instructions) .....	0.			
d Applied to 2019 distributable amount .....				5,388,964.
e Remaining amount distributed out of corpus .....	0.			
5 Excess distributions carryover applied to 2019 (If an amount appears in column (d), the same amount must be shown in column (a).)	1,226,886.			1,226,886.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5 .....	2,560,068.			
b Prior years' undistributed income. Subtract line 4b from line 2b .....		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed .....		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions .....		0.		
e Undistributed income for 2018. Subtract line 4a from line 2a. Taxable amount - see instr. ...			0.	
f Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020 .....				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions) .....	44,500.			
8 Excess distributions carryover from 2014 not applied on line 5 or line 7 .....	0.			
9 Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a .....	2,515,568.			
10 Analysis of line 9:				
a Excess from 2015 ...				
b Excess from 2016 ...	562,654.			
c Excess from 2017 ...	1,952,914.			
d Excess from 2018 ...				
e Excess from 2019 ...				

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

N/A

**1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling ▶

**b** Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2019	(b) 2018	(c) 2017	(d) 2016	
<b>2 a</b> Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
<b>b</b> 85% of line 2a					
<b>c</b> Qualifying distributions from Part XII, line 4, for each year listed					
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
<b>3</b> Complete 3a, b, or c for the alternative test relied upon:					
<b>a</b> "Assets" alternative test - enter:					
<b>(1)</b> Value of all assets					
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i)					
<b>b</b> "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6, for each year listed					
<b>c</b> "Support" alternative test - enter:					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
<b>(3)</b> Largest amount of support from an exempt organization					
<b>(4)</b> Gross investment income					

**Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)****1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d.

**a** The name, address, and telephone number or email address of the person to whom applications should be addressed:

ONLINE AT WWW.WRFOUNDATION.ORG, (501) 376-6854  
225 EAST MARKHAM STREET, SUITE 200, LITTLE ROCK, AR 72201

**b** The form in which applications should be submitted and information and materials they should include:

SEE STATEMENT 20

**c** Any submission deadlines:

SEE STATEMENT 20

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

SEE STATEMENT 20

**Part XV** **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a</b> <i>Paid during the year</i>  SEE STATEMENT 21 VARIOUS LITTLE ROCK, AR 72201			SEE STATEMENT 21	2,137,027.
<b>Total</b>		<b>3a</b>		2,137,027.
<b>b</b> <i>Approved for future payment</i>  SEE STATEMENT 21 VARIOUS LITTLE ROCK, AR 72201			SEE STATEMENT 21	2,637,494.
<b>Total</b>		<b>3b</b>		2,637,494.



**Part XVII Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations**

- |          |  |              |           |
|----------|--|--------------|-----------|
| <b>1</b> | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c)(3) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?   | <b>Yes</b>   | <b>No</b> |
| <b>a</b> | Transfers from the reporting foundation to a noncharitable exempt organization of:   |              |           |
|          | (1) Cash   | <b>1a(1)</b> | <b>X</b>  |
|          | (2) Other assets   | <b>1a(2)</b> | <b>X</b>  |
| <b>b</b> | Other transactions:  |              |           |
|          | (1) Sales of assets to a noncharitable exempt organization   | <b>1b(1)</b> | <b>X</b>  |
|          | (2) Purchases of assets from a noncharitable exempt organization   | <b>1b(2)</b> | <b>X</b>  |
|          | (3) Rental of facilities, equipment, or other assets   | <b>1b(3)</b> | <b>X</b>  |
|          | (4) Reimbursement arrangements   | <b>1b(4)</b> | <b>X</b>  |
|          | (5) Loans or loan guarantees   | <b>1b(5)</b> | <b>X</b>  |
|          | (6) Performance of services or membership or fundraising solicitations   | <b>1b(6)</b> | <b>X</b>  |
| <b>c</b> | Sharing of facilities, equipment, mailing lists, other assets, or paid employees   | <b>1c</b>    | <b>X</b>  |
| <b>d</b> | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. |              |           |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign  
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Andrew M. Dehson  
Signature of officer or trustee

Date \_\_\_\_\_

Title

May the IRS discuss this return with the preparer

☒ Yes ☐ No

**Paid  
Preparer  
Use Only**

Print/Type preparer's name

Preparer's signature

Date \_\_\_\_\_

Check ☐  
self-employed

PTIN

SHERRY CHESSER, CPA *She*  
Firm's name ▶ LANDMARK PLC, CPAS

	P00174819
Firm's EIN ▶	71-0355269

Firm's address ▶ 201 EAST MARKHAM, SUITE 500  
LITTLE ROCK, AR 72201

Phone no. (501) 375-2025

THE WINTHROP ROCKEFELLER FOUNDATION

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a PUBLICLY TRADED SECURITIES</b>	P		
<b>b 76,408 SHARES, SOUTHERN BANCORP SERIES B</b>	P	05/01/88	12/13/19
<b>c K-1 - GQG PARTNERS</b>	P		
<b>d K-1 - OWL VENTURES II, LP</b>	P		
<b>e K-1 - OWNERSHIP CAPTIAL</b>	P		
<b>f K-1 - DIAMOND STATE VENTURES III</b>	P		
<b>g K-1 - DIAMOND STATE VENTURES II, LP</b>	P		
<b>h K-1 - FUND FOR ARKANSAS' FUTURE</b>	P		
<b>i K-1 - NEWTYN TE PARTNERS</b>	P		
<b>j K-1 - CIM ENTERPRISE LOAN FUND</b>	P		
<b>k CAPITAL GAINS DIVIDENDS</b>			
<b>l</b>			
<b>m</b>			
<b>n</b>			
<b>o</b>			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
<b>a 17,889,170.</b>		<b>14,891,189.</b>	<b>2,997,981.</b>
<b>b 751,091.</b>		<b>147,344.</b>	<b>603,747.</b>
<b>c 281,473.</b>			<b>281,473.</b>
<b>d 39,780.</b>			<b>39,780.</b>
<b>e 49,596.</b>			<b>49,596.</b>
<b>f 153,064.</b>			<b>153,064.</b>
<b>g 4,303.</b>			<b>4,303.</b>
<b>h</b>		<b>9,483.</b>	<b>-9,483.</b>
<b>i 54,297.</b>			<b>54,297.</b>
<b>j</b>		<b>9,111.</b>	<b>-9,111.</b>
<b>k 81,048.</b>			<b>81,048.</b>
<b>l</b>			
<b>m</b>			
<b>n</b>			
<b>o</b>			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
<b>a</b>			<b>** 2,997,981.</b>
<b>b</b>			<b>603,747.</b>
<b>c</b>			<b>** 281,473.</b>
<b>d</b>			<b>** 39,780.</b>
<b>e</b>			<b>** 49,596.</b>
<b>f</b>			<b>** 153,064.</b>
<b>g</b>			<b>** 4,303.</b>
<b>h</b>			<b>** -9,483.</b>
<b>i</b>			<b>** 54,297.</b>
<b>j</b>			<b>** -9,111.</b>
<b>k</b>			<b>81,048.</b>
<b>l</b>			
<b>m</b>			
<b>n</b>			
<b>o</b>			

<b>2</b> Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 } .....	<b>2</b>	<b>4,246,695.</b>
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 .....	<b>3</b>	<b>3,561,900.</b>

FORM 990-PF

GAIN OR (LOSS) FROM SALE OF ASSETS

STATEMENT 1

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) MANNER ACQUIRED DEPREC.	(F) DATE ACQUIRED GAIN OR LOSS	DATE SOLD
PUBLICLY TRADED SECURITIES						
	17,889,170.	15,386,052.	0.	0.	2,503,118.	

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) MANNER ACQUIRED DEPREC.	(F) DATE ACQUIRED GAIN OR LOSS	DATE SOLD
76,408 SHARES, SOUTHERN BANCORP SERIES B						05/01/88 12/13/19
	751,091.	147,344.	0.	0.	603,747.	

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) MANNER ACQUIRED DEPREC.	(F) DATE ACQUIRED GAIN OR LOSS	DATE SOLD
K-1 - GQG PARTNERS				PURCHASED		
	281,473.	281,473.	0.	0.	0.	

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) MANNER ACQUIRED DEPREC.	(F) DATE ACQUIRED GAIN OR LOSS	DATE SOLD
K-1 - OWL VENTURES II, LP				PURCHASED		
	39,780.	35,001.	0.	0.	4,779.	

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
K-1 - OWNERSHIP CAPTIAL	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
49,596.	49,596.	0.	0.	0.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
K-1 - DIAMOND STATE VENTURES III	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
153,064.	153,064.	0.	0.	0.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
K-1 - DIAMOND STATE VENTURES II, LP	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
4,303.	4,303.	0.	0.	0.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
K-1 - FUND FOR ARKANSAS' FUTURE	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	0.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
K-1 - NEWTYN TE PARTNERS	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
54,297.	54,297.	0.	0.	0.



(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE		(C) COST OR OTHER BASIS		(D) EXPENSE OF SALE		(E) DEPREC.		(F) GAIN OR LOSS	
K-1 - CIM ENTERPRISE LOAN FUND										
	0.		0.		0.		0.		0.	

CAPITAL GAINS DIVIDENDS FROM PART IV	81,048.
TOTAL TO FORM 990-PF, PART I, LINE 6A	3,192,692.

## FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 2

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
AQR STYLE PREMIA	0.	6,041.	0.
BAIN CAPITAL DOUBLE IMPACT	986.	522.	0.
BLACKROCK GLOBAL TACTICAL	129.	2,374.	0.
BLACKROCK ISHARES MSCI EM ESG			
OPZ	979.	519.	0.
BRECKINRIDGE CAPITAL ADVISORS	157,137.	115,102.	0.
BROWN SUSTAINABLE GROWTH FUND	137.	10.	0.
CCM	173,133.	1,040.	0.
CHECKING ACCOUNT	1,853.	1,853.	0.
COMPLUS ASIA	907.	480.	0.
GQG INTERNATIONAL	232.	6,793.	0.
ILLUMEN CAPITAL	439.	232.	0.
NORTHERN TRUST CASH	0.	14,334.	0.
NORTHERN TRUST STIF	27,066.	27,066.	0.
NT BARCLAYS AGG	152,542.	130,336.	0.
NTAM RUSSELL 1000 VALUE	47.	2,853.	0.
NTGI GROWTH RUSSELL 1000	14,898.	2,310.	0.
OAKVIEW	21,599.	11,439.	0.
OWL VENTURES II	180.	1,020.	0.
PASSTHROUGH INTEREST INCOME	0.	274,365.	0.
TOTAL TO PART I, LINE 3	552,264.	598,689.	0.

## FORM 990-PF

## DIVIDENDS AND INTEREST FROM SECURITIES

## STATEMENT 3

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
AQR STYLE PREMIA	51,001.	0.	51,001.	19,459.	0.
ARIEL					
INTERNATIONAL	285,470.	0.	285,470.	324,926.	0.
BLACKROCK GLOBAL TACTICAL	17,917.	21,333.	-3,416.	-6,179.	0.
BLACKROCK ISHARES					
MSCI EM ESG OPZ	278,325.	0.	278,325.	303,347.	0.
BROWN SUSTAINABLE					
GROWTH FUND	1,235.	50,268.	-49,033.	-50,259.	0.
CBRE	79.	0.	79.	79.	0.
ELEVAR EQUITY IV	1,790.	0.	1,790.	0.	0.
NORTHERN TRUST					
CASH	0.	9,447.	-9,447.	3,481.	0.
NORTHERN TRUST					
STIF	197.	0.	197.	197.	0.
NTAM R1000 VALUE					
FUND	291,605.	0.	291,605.	260,965.	0.
NTGI RUSSELL 1000					
GROWTH	128,342.	0.	128,342.	125,084.	0.
OAKVIEW VALUE	131,219.	0.	131,219.	114,115.	0.
TO PART I, LINE 4	1,187,180.	81,048.	1,106,132.	1,095,215.	0.

## FORM 990-PF

## OTHER INCOME

## STATEMENT 4

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
BLACKROCK	0.	517.	0.
CCM	0.	1,834.	0.
NT BOND INDEX	0.	-3,805.	0.
RUSSELL 1000 GROWTH	0.	2,690.	0.
RUSSELL 1000 VALUE	0.	2,957.	0.
CBRE CLARION GLOBAL	0.	-18.	0.
OTHER INCOME FROM PASSTHROUGH	0.	-417,121.	0.
MISCELLANEOUS INCOME	2,651.	2,651.	0.
PROGRAM-RELATED INVESTMENTS INCOME	106,958.	106,958.	0.
TOTAL TO FORM 990-PF, PART I, LINE 11	109,609.	-303,337.	0.

## FORM 990-PF

## LEGAL FEES

## STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL SERVICES	19,323.	2,920.	0.	16,403.
TO FM 990-PF, PG 1, LN 16A	19,323.	2,920.	0.	16,403.

## FORM 990-PF

## ACCOUNTING FEES

## STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING	7,767.	0.	0.	7,767.
AUDIT	41,400.	33,120.	0.	8,280.
TAX PREPERATION	13,550.	0.	0.	13,550.
TO FORM 990-PF, PG 1, LN 16B	62,717.	33,120.	0.	29,597.

## FORM 990-PF

## OTHER PROFESSIONAL FEES

## STATEMENT 7

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
CUSTODIAL BANK FEES	80,542.	106,705.	0.	0.
INVESTMENT OVERSIGHT	255,101.	255,101.	0.	0.
NT INVESTMENT MANAGEMENT FEES	17,186.	17,630.	0.	0.
BRECKINRIDGE INVESTMENT MANAGEMENT FEES	5,512.	5,425.	0.	0.
OAKVIEW MANAGEMENT FEES	35,503.	29,669.	0.	0.
CBRE MANAGEMENT FEES	18.	18.	0.	0.
COMPLUS ASIA FEES	27.	27.	0.	0.
INVESTMENT EXPENSES				
ALLOCATION	144,421.	144,421.	0.	0.
OTHER CONSULTING FEES	192,436.	0.	0.	192,436.
TO FORM 990-PF, PG 1, LN 16C	730,746.	558,996.	0.	192,436.

FORM 990-PF	TAXES		STATEMENT 8	
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES	0.	99,376.	0.	0.
EXCISE TAXES	111,764.	0.	0.	0.
TO FORM 990-PF, PG 1, LN 18	111,764.	99,376.	0.	0.

FORM 990-PF	OTHER EXPENSES		STATEMENT 9	
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
BANK CHARGES	141.	0.	0.	141.
INSURANCE	15,531.	0.	0.	15,531.
POSTAGE	402.	0.	0.	402.
DUES & SUBSCRIPTIONS	59,470.	0.	0.	59,470.
OFFICE EXPENSES	161,520.	0.	0.	161,520.
PROGRAM EXPENSES	330,829.	0.	0.	330,829.
ACCRUAL TO CASH ADJUSTMENT	0.	0.	0.	244,240.
MEETINGS AND CONVENTIONS	188,068.	0.	0.	188,068.
PROFESSIONAL DEVELOPMENT	52,580.	0.	0.	52,580.
TRUSTEE OPERATIONS EXPENSE	32,195.	0.	0.	32,195.
TENANT IMPROVEMENT ALLOWANCE	2,283.	0.	0.	0.
BOARD COMMITTEE SUPPORT	1,901.	0.	0.	0.
TO FORM 990-PF, PG 1, LN 23	844,920.	0.	0.	1,084,976.

FORM 990-PF	OTHER INCREASES IN NET ASSETS OR FUND BALANCES	STATEMENT 10
DESCRIPTION	AMOUNT	
UNREALIZED GAINS/(LOSSES) ON INVESTMENTS	17,337,683.	
TOTAL TO FORM 990-PF, PART III, LINE 3	17,337,683.	

## FORM 990-PF

## CORPORATE STOCK

## STATEMENT 11

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
NTGI RUSSELL 1000 GROWTH EQUITY	11,927,588.	11,927,588.
NTAM R1000 VALUE FUND EQUITY	11,961,431.	11,961,431.
OAKVIEW	4,984,520.	4,984,520.
BROWN SUSTAINABLE GROWTH EQUITY	6,837,518.	6,837,518.
KABOUTER INT'L OPP OFFSHORE FUND EQUITY	4,455,165.	4,455,165.
ARIEL INT'L FUND EQUITY	13,938,186.	13,938,186.
GQG INT'L FUND EQUITY	14,239,522.	14,239,522.
BLACKROCK ISHARES FUND EQUITY	10,758,149.	10,758,149.
OWNERSHIP CAPITAL FUND EQUITY	5,501,200.	5,501,200.
AQR STYLE PREMIA EQUITY	1,604,827.	1,604,827.
FORT GLOBAL OFFSHORE FUND EQUITY	2,261,549.	2,261,549.
CIM ENTERPRISE LOAN FUND	3,437,791.	3,437,791.
LONG POND OFFSHORE FUND EQUITY	3,600,342.	3,600,342.
COATUE	1,822,343.	1,822,343.
LAKEWOOD	1,826,697.	1,826,697.
SENTINEL DOME	1,919,057.	1,919,057.
VARADERO	1,855,567.	1,855,567.
HOLLIS PARK	1,652,370.	1,652,370.
NETWYN	1,711,971.	1,711,971.
ELIZABETH PARK CAPITAL OFFSHORE	1,614,905.	1,614,905.
PRESERVER PARTNERS	2,052,503.	2,052,503.
DIAMOND STATE VENTURES III	925,000.	925,000.
OWL VENTURES II - PRIVATE PARTNERSHIP	747,346.	747,346.
SJF VENTURES IV PRIVATE PARTNERSHIP	917,989.	917,989.
BAIN CAPITAL DOUBLE IMPACT PRIVATE PARTNERSHIP	971,322.	971,322.
MATERIAL IMPACT	677,301.	677,301.
ELEVAR EQUITY IV	613,170.	613,170.
ILLUMEN CAPITAL	285,032.	285,032.
IMPACT AMERICA	467,270.	467,270.
AVANATH AFFORDABLE HOUSING FUND IV	120,384.	120,384.
TOTAL TO FORM 990-PF, PART II, LINE 10B	115,688,015.	115,688,015.

## FORM 990-PF

## CORPORATE BONDS

## STATEMENT 12

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
BRECKINRIDGE CAPITAL FIXED INCOME	6,177,899.	6,177,899.
CCM	6,288,783.	6,288,783.
NORTHERN TRUST BARCLAYS AGG BOND FUND	4,943,059.	4,943,059.
TOTAL TO FORM 990-PF, PART II, LINE 10C	17,409,741.	17,409,741.

FORM 990-PF

OTHER ASSETS

STATEMENT 13

DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
PROGRAM RELATED INVESTMENTS	7,535,605.	7,296,042.	7,296,042.
ACCRUED INTEREST RECEIVABLE	119,893.	142,284.	142,284.
TO FORM 990-PF, PART II, LINE 15	7,655,498.	7,438,326.	7,438,326.

FORM 990-PF

PART VIII - LIST OF OFFICERS, DIRECTORS  
TRUSTEES AND FOUNDATION MANAGERS

STATEMENT 14

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
SHERECE WEST-SCANTLEBURY 225 EAST MARKHAM STREET, STE 200 LITTLE ROCK, AR 72201	PRESIDENT & CEO 50.00	370,186.	51,446.	0.
ANDREA DOBSON 225 EAST MARKHAM STREET, STE 200 LITTLE ROCK, AR 72201	COFO 50.00	274,845.	57,744.	0.
REGAN GRUBER MOFFITT 225 EAST MARKHAM STREET, STE 200 LITTLE ROCK, AR 72201	SECRETARY 50.00	189,652.	33,400.	0.
CALVIN WHITE 225 EAST MARKHAM STREET, STE 200 LITTLE ROCK, AR 72201	CHAIR 3.40	0.	0.	0.
CHRIS VINCENT 225 EAST MARKHAM STREET, STE 200 LITTLE ROCK, AR 72201	VICE CHAIR 2.41	0.	0.	0.
CHRIS CARDONA 225 EAST MARKHAM STREET, STE 200 LITTLE ROCK, AR 72201	DIRECTOR 2.17	0.	0.	0.
KILOLO KIJAKAZI 225 EAST MARKHAM STREET, STE 200 LITTLE ROCK, AR 72201	DIRECTOR 1.61	0.	0.	0.
CHARLOTTE GREEN 225 EAST MARKHAM STREET, STE 200 LITTLE ROCK, AR 72201	DIRECTOR 1.76	0.	0.	0.
MIKE MALONE 225 EAST MARKHAM STREET, STE 200 LITTLE ROCK, AR 72201	DIRECTOR 1.83	0.	0.	0.
TERRY MAZANY 225 EAST MARKHAM STREET, STE 200 LITTLE ROCK, AR 72201	DIRECTOR 1.05	0.	0.	0.

THE WINTHROP ROCKEFELLER FOUNDATION71-0285871

WIN ROCKEFELLER, JR. DIRECTOR  
225 EAST MARKHAM STREET, STE 200 1.32  
LITTLE ROCK, AR 72201

0. 0. 0.

KIISHA MARROW DIRECTOR  
225 EAST MARKHAM STREET, STE 200 1.34  
LITTLE ROCK, AR 72201

0. 0. 0.

SHARON TOOMER DIRECTOR  
225 EAST MARKHAM STREET, STE 200 1.34  
LITTLE ROCK, AR 72201

0. 0. 0.

LISENNE ROCKEFELLER DIRECTOR  
225 EAST MARKHAM STREET, STE 200 1.40  
LITTLE ROCK, AR 72201

0. 0. 0.

ROSA VELAZQUEZ DIRECTOR  
225 EAST MARKHAM STREET, STE 200 1.97  
LITTLE ROCK, AR 72201

0. 0. 0.

TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII

834,683. 142,590. 0.



FORM 990-PF

EXPENDITURE RESPONSIBILITY STATEMENT  
PART VII-B, LINE 5C

STATEMENT 15

GRANTEE'S NAME

MARY REYNOLDS BABCOCK FOUNDATION, INC.

GRANTEE'S ADDRESS2920 REYNOLDA ROAD  
WINSTON-SALEM, NC 27106

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>	<u>VERIFICATION DATE</u>
500,000.	09/29/16	500,000.	01/29/20

PURPOSE OF GRANT

TO LAUNCH UPLIFT AMERICA TO SUPPORT COMMUNITY-BASED LENDERS IN ACCESSING UP TO \$500 MILLION IN US DEPARTMENT OF AGRICULTURE (USDA) CAPITAL TO DEVELOP OR REFINANCE COMMUNITY FACILITIES IN DISTRESSED RURAL COMMUNITIES.

RESULTS OF VERIFICATION

THE GRANTEE HAS SUBMITTED DETAILED SUPPORTING DOCUMENTATION FOR THE USE OF THE FUNDS. THE WINTHROP ROCKEFELLER FOUNDATION DEEMED FURTHER VERIFICATION OF THE GRANTEE'S REPORT UNNECESSARY.

## GENERAL EXPLANATION

STATEMENT 16

## FORM/LINE IDENTIFIER AND DESCRIPTION/RETURN REFERENCE

PART XIII, LINE 7 - REG 53.4942(A)-3(C)(2) ELECTION STATEMENT

## EXPLANATION:

THE TAXPAYER (THE WINTHROP ROCKEFELLER FOUNDATION, EIN 71-0285871) HEREBY ELECTS UNDER REGULATION 53.4942(A)-3(C)(2) TO TREAT \$44,500 OF PRIOR-YEAR DISTRIBUTIONS MADE OUT OF CORPUS IN ORDER TO SATISFY THE REQUIREMENTS OF SECTION 4942(G)(3). THE PRIOR-YEAR DISTRIBUTIONS DESCRIBED ABOVE WERE TREATED UNDER REGULATION 53.4942(A)-3(D)(1)(III) AS DISTRIBUTIONS OUT OF CORPUS DURING THE TAXABLE YEAR ENDING DECEMBER 31, 2016.

**ABCs of Equity – \$251,008**

WRF commissioned research in 2019 to help communities, business leaders, and state policymakers to understand the economic status of families in the state, the cost of inequitable policy in the state, and the disparities in access to business capital faced by women and people of color. The ALICE (Asset Limited Income Constrained Employed) research provides a framework, language, statistics, and tools that community stakeholders can use to inform policy and drive innovation. The Business Case for Equity report details the potential economic impact of more equitable policy and public systems in Arkansas. The Access to Capital study provided both qualitative and quantitative data that describes the negative experiences of women and entrepreneurs of color who have attempted to access public and private capital systems in support of their businesses.

- *Organizations engaged:*
  - United Way - Little Rock, AR
  - Entergy Arkansas - Little Rock, AR
  - Arkansas Children's Hospital - Little Rock, AR
  - University of Arkansas School for Medical Sciences - Little Rock, AR
  - Arkansas Advocates for Children and Families - Little Rock, AR
  - Arkansas Community Foundation - Little Rock, AR
  - Women's Foundation of Arkansas - Little Rock, AR
  - Southern Bancorp Community Partners - Little Rock, AR
  - Communities Unlimited - Fayetteville, AR
  - Simmons Bank - Little Rock, AR
  - Winrock International - Little Rock, AR
  - Remix Ideas - Little Rock, AR
  - Arkansas Chapter of the American Academy of Pediatrics - Little Rock, AR
  - FORGE - Huntsville, AR
  - Rural Community Alliance - Little Rock, AR
  - Phoenix Youth and Family Services - Crossett, AR
  - Arkansas Community Colleges - Little Rock, AR
  - Human Rights Campaign of Arkansas - Little Rock, AR
  - Just Communities of Arkansas - Little Rock, AR
  - Arkansas Regional Innovation Hub - Little Rock, AR
  - Little Rock Regional Chamber of Commerce - Little Rock, AR
  - Southern Bancorp Community Partners - Little Rock, AR
  - Urban League of the State of Arkansas - Little Rock, AR
  - Philander Smith College - Social Justice Institute - Little Rock, AR

# STATEMENT 19

THE WINTHROP ROCKEFELLER FOUNDATION

71-0285871

FORM 990-PF, PART IX-A SUMMARY OF DIRECT CHARITABLE ACTIVITIES

STATEMENT

- ForwARd Arkansas - Little Rock, AR
- Arkansas Justice Collective - Springdale, AR
- *Research produced:*
  - *ALICE in Arkansas*
  - *Business Case for Equity*
  - *Capital Access Report*
- *Convenings:*
  - 9/5/19 WRF Equity Research Advisory Committee
  - 10/29/19 Entergy Partnership on ALICE
  - 11/6/19 Arkansas Asset Funders Network Access to Capital Roundtable
- *Webinars: N/A*

## Strengthening Arkansas Nonprofits – \$ 244,116

WRF has directly supported leadership development and stronger nonprofits through technical assistance for specific planning and coaching needs, leadership development, and nonprofit networks. Nonprofits received support for project evaluation, documentation, constituency building, strategic and business planning, communications, financial management, and board development.

- *Organizations engaged:*
  - Our House - Little Rock, AR
  - ForwARd Arkansas - Little Rock, AR
  - Hispanic Community Services, Inc. - Jonesboro, AR
  - Urban League of Arkansas - Little Rock, AR
  - Social Justice Institute at Philander Smith College - Little Rock, AR
  - Phoenix Youth and Family Services - Monticello, AR
  - Decarcerate - Little Rock, AR
  - Rural Community Alliance - Little Rock, AR
  - Arkansas Coalition to Abolish the Death Penalty - Little Rock, AR
  - Northwest Arkansas Workers Justice Center - Springdale, AR
  - Arkansas Transgender Equality Coalition - Little Rock, AR
  - Boys Girls Adults Community Development Center - Marvell, AR
  - People Trust Community Loan Fund - Little Rock, AR
  - Arkansas Justice Collective - Springdale, AR

# STATEMENT 19

THE WINTHROP ROCKEFELLER FOUNDATION

71-0285871

FORM 990-PF, PART IX-A SUMMARY OF DIRECT CHARITABLE ACTIVITIES

STATEMENT

- Arkansas Impact Philanthropy - Little Rock, AR
- Arkansas United Community Coalition - Springdale, AR
- Women's Foundation of Arkansas - Little Rock, AR
- United Way of Northwest Arkansas - Lowell, AR
- Winrock International - Little Rock, AR
- United Way of Central Arkansas - Conway, AR
- IDEALS Institute at the University of Arkansas Fayetteville - Fayetteville, AR

- *Research Produced:*

- N/A

- *Convenings:*

- N/A

- *Webinars:* N/A

## **ForwARd Arkansas – \$ 147,844**

ForwARd Arkansas (ForwARd) is an independent nonprofit organization founded through a partnership of the Winthrop Rockefeller Foundation, the Walton Family Foundation, and the Arkansas State Board of Education. Committed to making Arkansas a leading state in education and to closing the achievement gap within a generation, ForwARd is guided by a comprehensive strategic plan for P-16 public education created by Arkansas residents, educators, business leaders, and policymakers. In 2019, WRF staff provided extensive technical assistance, capacity building, and direct support to ForwARd during a leadership transition and the early stages of the development of a new strategic direction for the organization.

*Organizations engaged:*

### **NON-PROFIT / STATE AGENCIES / EDUCATION GROUPS**

- Arkansas Association of Educational Administrators - Little Rock, AR
- Arkansas Department of Education – Little Rock, AR
- Arkansas Department of Higher Education - Little Rock, AR
- Arkansas Education Association - Little Rock, AR
- Arkansas State Board of Education - Little Rock, AR

# STATEMENT 19

THE WINTHROP ROCKEFELLER FOUNDATION

71-0285871

FORM 990-PF, PART IX-A SUMMARY OF DIRECT CHARITABLE ACTIVITIES

STATEMENT

- Arkansas State Chamber of Commerce - Little Rock, AR
- Arkansas State Teachers Association - Little Rock, AR
- EAST Initiative - Little Rock, AR
- Little Rock School District - Little Rock, AR
- Pea Ridge School District - Pea Ridge, AR
- Crossett School District - Crossett, AR
- Independence County Chamber of Commerce - Batesville, AR
- Rural Community Alliance - Fox, AR
- Springdale School District - Springdale, AR
- Walton Family Foundation - Bentonville, AR
  
- *Research Produced: N/A*
  
- *Convenings:*
  - *3/5/19 ForwARd Board Annual Meeting*
  - *5/22/19 ForwARd Board Orientation*
  - *6/3/19 ForwARd Board Orientation*
  - *6/4/19 ForwARd Board Meeting*
  - *8/6/19 ForwARd Nominating Committee Planning*
  - *8/22/19 Executive Evaluation & Compensation Committee Meeting*
  - *9/4/19 Governance and Nominating Committee Meeting*
  - *9/10/19 ForwARd Board Meeting*
  - *11/15/10 ForwARd Governing Board Meeting*
  - *12/3/19 ForwARd Board Meeting*
  - *12/9/19 ForwARd Meeting with Kathy Smith*
  - *12/10/19 Organizational Assessment of ForwARd's Culture and Climate*
  
- *Webinars: N/A*

## Philanthropic Collaboration – \$273,268

WRF has directly supported the infrastructure for funders to have collective impact on significant challenges facing the state of Arkansas. Through groups like Arkansas Asset Funders Network (AFN), Arkansas Impact Philanthropy (AIP), and Delta Philanthropy Forum (DPF), this philanthropic collaboration has brought together coalitions and

# STATEMENT 19

THE WINTHROP ROCKEFELLER FOUNDATION

71-0285871

FORM 990-PF, PART IX-A SUMMARY OF DIRECT CHARITABLE ACTIVITIES

STATEMENT

resources to support a complete count of the census, increased access to bank accounts for low-income individuals, and more resources for the Delta region.

- *Organizations engaged:*
  - Carl B. & Florence E. King Foundation - Dallas, TX
  - Communities Unlimited, Inc. - Fayetteville, AR
  - Excellerate Foundation - Springdale, AR
  - Ross Foundation - Arkadelphia, AR
  - Heart of Arkansas United Way - Little Rock, AR
  - United Way of Northwest Arkansas - Lowell, AR
  - Rockefeller Foundation - New York, NY
  - Clinton Foundation - Little Rock, AR
  - Delta Dental of Arkansas Foundation - Little Rock, AR
  - Mary Reynolds Babcock Foundation - Winston-Salem, NC
  - Tyson Foods - Springdale, AR
  - Walmart Foundation - Bentonville, AR
  - Arkansas Asset Funders Network
  - Arkansas Bankers Association - Little Rock, AR
  - Arkansas Community Action Agencies Association, Inc. - Little Rock, AR
  - Arkansas Community Colleges - Little Rock, AR
  - Arkansas Foodbank - Little Rock, AR
  - Arkansas Small Business & Technology Development Center - Little Rock, AR
  - Arvest Bank - Bentonville, AR
  - Bank of America - Charlotte, NC
  - Central Arkansas Development Council - Benton, AR
  - Central Arkansas Library System - Little Rock, AR
  - Citizens Bank - Providence, RI
  - Clinton School of Public Service - Little Rock, AR
  - Credit Counseling of Arkansas - Fayetteville, AR
  - Crowley's Ridge Development Council - Jonesboro, AR
  - Diamond Lakes Federal Credit Union - Malvern, AR
  - Economic Opportunity Agency of Washington County Springdale, AR
  - Economics Arkansas - Little Rock, AR
  - First Community Bank - Bluefield, VA
  - FORGE Community Loan Fund - Huntsville, AR
  - Habitat for Humanity of Central Arkansas - Little Rock, AR

# STATEMENT 19

THE WINTHROP ROCKEFELLER FOUNDATION

71-0285871

FORM 990-PF, PART IX-A SUMMARY OF DIRECT CHARITABLE ACTIVITIES

STATEMENT

- Harmony Health Clinic - Little Rock, AR
- HouseAboutIt Community and Economic Development Agency - Little Rock, AR
- Iberia Bank - Lafayette, LA
- Interfaith Arkansas - Little Rock, AR
- Mexican Consulate - Dallas, TX
- Our House - Little Rock, AR
- People Trust Community Loan Funds - Little Rock, AR
- Regions Bank - Birmingham, AL
- Simmons Bank - Little Rock, AR
- Southern Bancorp - Arkadelphia, AR
- Southern Bancorp Community Partners - Little Rock, AR
- U.S. Bank - Minneapolis, MN
- United Way of Central Arkansas - Conway, AR
- United Way of Northeast Arkansas - Jonesboro, AR
- University of Arkansas Cooperative Extension Service - Little Rock, AR
- University of Arkansas at Little Rock College of Business - Little Rock, AR
- WK Kellogg Foundation - Battle Creek, MI
- Southern Bancorp Community Partners - Little Rock, AR
- Federal Reserve of St. Louis - St. Louis, MO
- Walton Family Foundation - Bentonville, AR
- Delta Circles - Helena-West Helena, AR
- Feeding America - Washington, DC
- Clinton School of Public Service - Center for Community Philanthropy - Little Rock, AR
- Arkansas Community Foundation - Little Rock, AR
- Mississippi Alliance of Nonprofits and Philanthropy - Jackson, MS
- Women's Foundation of Arkansas - Little Rock, AR
- Delta Regional Authority - Little Rock, AR
- Community Foundation of Greater Memphis - Memphis, TN
- MDC, Inc. - Durham, NC
- Casey Family Programs - Seattle, WA
- Annie E. Casey Foundation - Baltimore, MD
- Community Foundation of Northwest Mississippi - Hernando, MS
- Woodward Hines Foundation - Jackson, MS
- HOPE Credit Union - Jackson, MS
- Foundation for the Midsouth - Jackson, MS



# STATEMENT 19

THE WINTHROP ROCKEFELLER FOUNDATION

71-0285871

FORM 990-PF, PART IX-A SUMMARY OF DIRECT CHARITABLE ACTIVITIES

STATEMENT

- Entergy Arkansas - Little Rock, AR
- *Research produced:*
  - N/A
- *Convenings:*
  - 3/6/19 AIP Meeting in NW Arkansas
  - 3/12/19 Arkansas AFN & Partners Black Women Owned Business Event
  - 3/27/19 Arkansas AFN Board Meeting
  - 3/27/19 AIP State Census Conversation
  - 4/16/19 Arkansas AFN Steering Committee Meeting
  - 5/7/19 - 5/9/19 AFN 2019 Grantmaker Conference
  - 6/26/19 AIP Next Steps Early Childhood Development
  - 7/2/19 Delta Philanthropy Forum Meeting
  - 7/24/19 AFN Board Meeting
  - 8/15/19 AFN Bank on AR + Future Home Discussion
  - 8/21/19 AIP Meeting
  - 9/3/19 AFN Nominating Committee Meeting
  - 9/19/19 AFN Steering Committee Meeting
  - 9/19/19 DPF Planning Meeting
  - 9/25/19 AFN Board Meeting
  - 10/1/19 AIP Meeting
  - 10/2/19 AIP, AFN, + Hispanics in Philanthropy Meeting
  - 10/7/19 AFN Narrative Change Meeting
  - 10/7/19 - 10/8/19 DPF Meeting
  - 10/23/19 AIP Meeting in NW Arkansas
  - 11/6/19 Arkansas AFN Access to Capital Roundtable
  - 11/12/19 AFN Steering Committee Meeting
  - 12/4/19 AFN Board Meeting
  - 12/17/19 AIP Meeting
- *Webinars: N/A*

# STATEMENT 20

## What We Fund

### Grantmaking Guidelines

The Winthrop Rockefeller Foundation is a private grantmaking foundation committed to funding movement building, public policy and advocacy, innovation, and systems change strategies that advance economic, educational, social, ethnic, and racial equity for all Arkansans. We want to disrupt the status quo, address the root causes of inequity, and eliminate policy and practice barriers to equity. We want to inspire and support creative, innovative problem solving that makes our state better for everyone. This comprehensive systems change approach may take longer to demonstrate impact, but it has a greater chance of achieving our founder's vision of a thriving and prosperous Arkansas that benefits all.

### AR Equity 2025 Programmatic Framework

It is not enough to just fix outdated policies and broken systems. Where needed, we will work with multiple sectors - public, private, nonprofit - to create new systems that work for everyone. There is power in working together across sectors. We believe we will go further working together. We will use our tools and resources - grantmaking and capacity building, strategic communications, strategic partnerships, convenings, and program- and mission- related investments - to advance equity in Arkansas.

**Advance economic equity:** increase the number of Arkansans who earn a living wage or above through quality jobs, increase ownership/entrepreneurship and build generational wealth regardless of background or circumstances. [Click here to learn more.](#)

**Advance educational equity:** increase the number of Arkansans who are prepared to be successful in postsecondary education and careers regardless of background and circumstances. Support innovative approaches to teacher preparation. [Click here to learn more.](#)

**Advance social, ethnic and racial equity:** build a movement to create a more equitable and productive Arkansas by eradicating social, ethnic, and racial bias in economic and education systems. [Click here to learn more.](#)

**Build capacity and catalyze innovation:** provide partners the resources they need to effectively and innovatively make progress towards their mission and the Foundation's vision for an equitable Arkansas.

# STATEMENT 20

**Communicate strategically:** develop powerful shared messaging strategy that puts economic, educational, social, ethnic, and racial equity at the center of public discourse.

Interested in applying for funding? Learn about our Eligibility Requirements.

## Eligibility Requirements

WRF will prioritize funding requests that do the following:

- Have an explicit commitment to educational, economic, social, ethnic, and racial equity.
- Have an explicit strategy to disrupt the status quo, address the root causes of inequity, and eliminate policies and practices that perpetuate barriers to equity.
- Prioritize community-led initiatives, movement building, public policy and advocacy, innovation and systems disruption as the strategies for change.
- Prioritize and engage people most impacted to lead the process of defining root causes of inequity and developing innovative solutions.
- Have a 2Gen approach that equally and intentionally creates opportunities for and address the needs of both children and the adults in their lives together - whole family strategies.
- Create or build upon existing partnerships or coalitions because no one organization or sector will achieve equity alone.
- Are innovative, strategic, and future focused to inspire and support creative problem solving to make Arkansas better for everyone.
- Are able to monitor, assess, and document the impact of the change(s) being sought, strategies being used, and the progress being made.

The criteria above will determine alignment with WRF's vision, mission, and core principles and priorities. Grant seekers are required to address these requirements as part of the Letter of Intent.

## Limitations and Restrictions

# STATEMENT 20

WRF grants and program related investments are limited to initiatives that directly or significantly impact the state of Arkansas, and are primarily provided to organizations located in Arkansas. If your initiative is not led by a nonprofit, government agency, school, college, or university, WRF will require a fiscal sponsor. A fiscal sponsor acts as a guardian of grant funds, retains discretion and control over the use of grant funds, and ensures that the funds are used for 501(c)(3) purposes. The fiscal sponsor and organization's missions should be in alignment.

Please note that WRF requires organizations to adhere to lobbying limits established by the federal government for organizations with a 501(c)(3) designation. Please refer to the information provided at [BolderAdvocacy.org](https://bolderadvocacy.org) for a detailed description of regulations and requirements for nonprofits engaged in public policy and advocacy strategies for change.

WRF will generally not:

- Fund Individuals
- Support capital expenditures (construction, major renovations, equipment, or supplies)
- Provide operating support to cover budget shortfalls
- Support fundraising campaigns
- Support basic scientific research
- Support endowments
- Support initiatives that do not directly or significantly have an impact within the state of Arkansas

## How We Fund

### Types of Support

In most cases, WRF will seek to make multi-year grants that allow for the full implementation of an idea. WRF will fund the following types of grants and investing that advance economic, educational, social, ethnic, and racial equity for all Arkansans:

#### General operating support

# STATEMENT 20

(text when you click or hover) General operating support is a grant in support of a nonprofit organization's mission rather than specific projects or programs. General operating support is the working capital nonprofits need to sustain their day-to-day operations. General operating support:

- Enables nonprofits to build a strong and sustainable infrastructure to provide programs and services that will have the greatest impact.
- Frees up the time nonprofits normally spend on raising money and reporting, so they can direct their spending where it is needed and focus on running effective programs.
- Eases fundraising pressures on nonprofit executives, reducing burnout and allowing them to focus on the mission.
- Fosters innovation and risk-taking by providing nonprofits with resources and bandwidth to take advantage of new opportunities as they arise.
- Reduces the power imbalance between grantmaker and grantee by allowing nonprofits to devise their own solutions based on their vast experience in the community and field. This also signals greater trust between a grantmaker and grantee.

## Project support

- (text when you click or hover) Project support is a grant for a specific intervention, innovation, idea, or project.

## Technical Assistance

- (text when you click or hover) WRF provides support and technical assistance to strengthen the capacity of its partners and mission-aligned nonprofit organizations to advance equity in Arkansas. Examples of technical assistance may include, but is not limited to, organizational development, board development, strategic planning, resource development, strategic partnerships, coalition building, and financial management.

## Program Related Investments

- (text when you click or hover) Program Related Investments (PRI) are investments made by foundations in support of charitable purposes, with the explicit understanding that those investments will earn below market returns, adjusted for risk and mission. Although a PRI is not a grant, it counts toward a foundation's payout requirement in the year a disbursement is made. WRF's PRIs include below market loans and loan guarantees, equity investments, loan funds and more. [Click here to learn more about WRF's current PRI portfolio.](#) (Credit Grant Craft)

## Apply for Funding

Apply for funding

## STATEMENT 20

It's not just about grants, but that's part of it. We want to know why you want to partner with us. Here's how to start the conversation:

### How We Work With Grantseekers, Grantees, and other Partners

WRF collaborates with grantseekers, grantees, and other partners to relentlessly pursue equity by engaging in open communication and transparency with a spirit of trust. Working with us might mean getting a grant, managing a mission or program-related investment, or getting technical assistance from a consultant. It might also mean connecting with other like-minded organizations to pool your resources.

During this “get to know each other” phase, we want to learn what brought you to us, and why you would like to work with us to relentlessly pursue economic, educational, and social, ethnic and racial equity for all Arkansans.

Our strategic direction guides our partnership process, so to determine if WRF fits your organizational or personal strategy, follow the steps below.

#### Step 1: Get into the system.

To submit an idea, you don't have to be a tax-exempt 501(c)(3), but it certainly won't hurt. If you're an individual with a good idea, we'll still look it over and see if there are ways we can relentlessly pursue equity together (or connect you with people or organizations that support progress toward your goals). One thing we do require is that the idea you are proposing has a direct impact within the state of Arkansas and aligns with AR Equity 2025. To make sure of this, we'll ask you for a brief summary at this stage. We'll get your full idea at the next step.

Get connected in our partner portal [here](#).

#### Step 2: Submit an Idea

## STATEMENT 20

WRF program staff continually reviews ideas to determine how they support the Foundation's vision, mission, and strategy to achieve equity. People and organizations whose ideas receive favorable initial review may be invited to discuss the idea and possible next steps in more detail. We may also point you in a different direction if the idea doesn't align with our goals.

Before submitting an idea, we recommend you learn more about What We Fund. In particular, we suggest checking out our Eligibility Guidelines. We ask that you address how your idea connects with WRF's priorities in the idea you submit during step 2.

### Step 3: Develop a Proposal

After a lot of discussion with us about your idea, we may ask you to submit a more comprehensive proposal of how to turn your idea into reality. We ask you to spend time learning about What We Fund and How We Fund. Our application will ask you to tell us more about how your proposal advances the priorities described in our Eligibility Guidelines.

This stage can take a while. The whole process can take 6 to 12 months.

Funding recommendations are presented to the WRF Board of Directors at quarterly meetings in March, June, September, and December. All funding decisions are made by the WRF Board, which may decide to award all, none, or some portion of the requested funds. In some cases, before a proposal can move forward, you or your organization may need extra support (nonprofit professional advice, finance and back office training, movement building, etc.). If the idea makes sense, we'll make it happen.

Questions? Email us at [programstaff@wrfoundation.org](mailto:programstaff@wrfoundation.org).

**Become a Partner**

# STATEMENT 21



## PART XV 2019 Grant Activity - Supplemental Information

Grant Name	Foundation Status of Recipient	Grant Name	Grant Purpose	2019 Grants Approved	2019 Grants Paid	Future payments on grants approved in 2019
Advocates For Community And Rural Education - Little Rock, AR	PC	Mission Critical: Rural Community Alliance	To support the Rural Community Alliance's to carry out its mission to help rural schools and communities thrive through parent and youth organizing, parent and resident engagement, and education advocacy	\$ 200,000.00	\$ 50,000.00	\$ 150,000.00
Arkansas Community Foundation, Inc. - Little Rock, AR	PC	Arkansas Impact Philanthropy	To provide general operating support to Arkansas Impact Philanthropy as it identifies and takes on additional collaborative philanthropic strategies to advance equity	\$ 100,000.00	\$ 50,000.00	\$ 50,000.00
Arkansas Community Foundation, Inc. - Little Rock, AR	PC	Mission Critical: AR-GLR Management 2019-2020	To serve as fiscal sponsor for the Arkansas Campaign for Grade-Level Reading as it implements the Excel by Eight initiative to increase health and education outcomes and decrease existing gaps across gender, income, race/ethnicity, and geography, for children ages birth to 8.	\$ 1,000,000.00		\$ 1,000,000.00
Arkansas Community Foundation, Inc. - Little Rock, AR	PC	Mission Critical: AR-GLR Management-2018-2019	To support the day-to-day management and implementation of the strategic plan for the Arkansas Campaign for Grade-Level Reading	\$ -	\$ 375,000.00	\$ -
Arkansas Community Foundation, Inc. - Little Rock, AR	PC	Delivering on the Dream Fund V	To support direct services, advocacy, and organizing so that immigrants and refugees can fully participate in a thriving and prosperous state that benefits all Arkansans	\$ 50,000.00	\$ 50,000.00	\$ -
Arkansas Community Foundation, Inc. - Little Rock, AR	PC	Mission Critical: ForwARd Arkansas Management 2018	To support the management and implementation of ForwARd Arkansas	\$ -	\$ 300,000.00	\$ -
Arkansas Community Foundation, Inc. - Little Rock, AR	PC	Fund for Trans and Queer Arkansans	To conduct a needs assessment to inform the creation of a grantmaking fund to support the needs of trans and queer Arkansans		\$ 30,000.00	
Arkansas Public Policy Panel Inc - Little Rock, AR	PC	Center for Artistic Revolution	To build the leadership and organizing capacity of the Center for Artistic Revolution		\$ 2,500.00	\$ -
Arkansas United Community Coalition - Fayetteville, AR	PC	Strategic Support	To increase Arkansas United Community Coalition's capacity to hire an interim deputy director		\$ 10,000.00	\$ -
Arkansas United Community Coalition - Fayetteville, AR	PC	2019 Change Champions	2019 Change Champion sponsorship	\$ 5,000.00	\$ 5,000.00	\$ -
Asset Funders Network - Chicago, IL	PC	Arkansas Asset Funders Network	To support a local coordinator for the Arkansas Asset Funders Network who will oversee the steering committee, membership and programs to advance economic equity in Arkansas.	\$ 40,000.00	\$ 20,000.00	\$ 20,000.00
Asset Funders Network - Chicago, IL	PC	Gates Grand Challenge for Economic Mobility	To support the Arkansas Asset Funders Network participation in a national cohort of narrative change collaborators to build a network of funders, businesses, banks, and policy-maker champions for an Asset Limited Income Constrained Employed (ALICE) agenda and to mobilize data-driven change on barriers to ALICE families.	\$ 100,000.00		\$ 100,000.00
Association of Black Foundation Executives, Inc.-New York, NY	PC	2019 Women in Philanthropy Leadership	2019 Women in Philanthropy Leadership sponsorship	\$ 5,000.00	\$ 5,000.00	\$ -
William J. Clinton Foundation - Little Rock, AR	PC	Economic Inclusion and Growth: The Way Forward	Sponsorship for domestic economic policy conference	\$ 5,000.00	\$ 5,000.00	\$ -
Boys Girls Adults Community Development Center - Marvell, AR	PC	Sustainable Services in East Arkansas	To support the Boys, Girls, Adults Community Development Center Inc., in its mission to create a healthy and safe community with a strong education system, while diversifying funding, and planning for the next generation of leadership	\$ -	\$ 20,176.00	\$ -
Capacity for Change		2020 Capacity for Change fund	2020 Capacity for Change fund	\$ 150,000.00		\$ 150,000.00
Capacity for Change		2019 Capacity for Change fund	2019 Capacity for Change fund	\$ 150,000.00		\$ 42,494.00
Communities Unlimited - Fayetteville, AR	PC	Build Models for Rural Entrepreneurship	To provide matching funds for USDA Rural Business Development Grants for Arkansas to provide technical assistance programs to entrepreneurs and to create and strengthen entrepreneurial ecosystems in three Delta communities.	\$ 75,000.00		\$ 75,000.00
Credit Counseling of Arkansas, Inc. - Fayetteville, AR	PC	Canopy NWA Reach to Enrich	To build the capacity of members at another organization, Canopy NWA, to identify and address financial wellness issues for their refugee clients		\$ 4,000.00	
Delta Circles, Inc. - Helena, AR	PC	Delta Circles	To build the organizational capacity of Delta Circles to carry its mission to create equitable economic outcomes for women of color in the Arkansas Delta.		\$ 20,000.00	\$ -
ForwARd Arkansas - Little Rock, AR	PC	General Operating Support 2019-2020	To support ForwARd Arkansas in fulfilling its mission and work plan to improve equity and increase student achievement and economic prosperity for Arkansas; to serve in the roles of catalyst, convener, and truth-teller; and to engage and empower communities and deliver results through systemic change.	\$ 1,200,000.00	\$ 450,000.00	\$ 750,000.00
Griffin-Gracy Educational Retreat & Historical Center, Inc. - Little Rock, AR	PC	Capacity for Change: House of GG	To assist our Board and executive management team in the development of a realistic strategic plan that coincides with our organization's Mission, goals and objectives.	\$ -	\$ 15,000.00	\$ -
Hickory Ridge Missionary Baptist Church - Camden, AR	PC	2019 Calvin White Board of Directors Honorarium	2019 Calvin White Board of Directors Honorarium	\$ 5,000.00	\$ 5,000.00	\$ -
Hope Enterprise Corporation - Jacksonville, MS	PC	Capacity for Change: Hispanic Women's Organization of Arkansas	25th Anniversary Celebration Sponsorship	\$ 5,000.00	\$ 5,000.00	\$ -



# STATEMENT 21



## PART XV 2019 Grant Activity - Supplemental Information

Grant Name	Foundation Status of Recipient	Grant Name	Grant Purpose	2019 Grants Approved	2019 Grants Paid	Future payments on grants approved in 2019
Ioby - Brooklyn, NY	PC	In Our Own Backyards Phase 0	To support Ioby's launch of its "Phase 0" research in the Northwest Arkansas and Mississippi Delta regions	\$ -	\$ 30,000.00	\$ -
Just Communities of Arkansas - Little Rock, AR	PC	Inclusive and Equitable Russellville	To support a community-wide initiative that focuses on addressing racial inequity using a place-based, strategic planning approach to achieve systemic change.	\$ -	\$ -	\$ 25,000.00
Little Rock Public Education Foundation, Inc. - Little Rock, AR	PC	Little Rock School District Parent Engagement	To provide resources for a Chief Education Officer for the City of Little Rock to ensure parents of students in schools categorized as "D" and "F" are part of planning and decision-making	\$ -	\$ 30,000.00	\$ -
Mary Reynolds Babcock Foundation - Winston Salem, NC	PF	Uplift America	To launch Uplift America to support community-based lenders in accessing up to \$500 million in US Department of Agriculture (USDA) capital to develop or refinance community facilities in distressed rural communities.	\$ -	\$ 166,667.00	\$ -
Nieghborhood Funders Group - Oakland, CA	PC	Integrated Rural Strategies	To support the Integrated Rural Strategies working group in highlighting important social justice movements coming from and to rural communities and to influence the philanthropic sector's approach to funding rural organizing.	\$ 5,000.00	\$ 5,000.00	\$ -
People Trust - Little Rock, AR	PC	Access to Capital in Central Arkansas	To provide financial products otherwise not available to residents of southwest Little Rock and the 12th Street Corridor to meet the short- and long-term financial needs of families seeking to grow assets	\$ 60,000.00	\$ 40,000.00	\$ 20,000.00
Phoenix Youth and Family Services, Inc. - Crossett, AR	PC	Transition to Equity	To support Phoenix Youth and Family Services, Inc.'s mission to strengthen economic outcomes in Southeast Arkansas through expanded educational and job training programming for youth and young adults	\$ -	\$ 45,000.00	\$ -
Project South The Institution for the Elimination Poverty and Genocide - Atlanta GA	PC	Arkansas People's Movement Assembly	To organize a network of trans and queer activists from across the state of Arkansas to identify root causes of inequity and develop local strategies for change based on member skills, resources, and community-based solutions	\$ -	\$ 7,000.00	\$ -
7Hills Homeless Shelter - Fayetteville, AR	PC	2019 Calvin White Board of Directors Honorarium	2019 Calvin White Board of Directors Honorarium	\$ 5,000.00	\$ 5,000.00	
Southeastern Council of Foundations - Atlanta, GA	PC	Equity and Impact Annual Meeting Sponsorship	Annual Meeting Sponsorship to promote the importance of diversity, equity and inclusion in philanthropy and provide tools to members to help them place these principles at the center of their own work.	\$ 15,000.00	\$ 15,000.00	\$ -
St. James United Methodist Church - Fayetteville, AR	PC	2019 Calvin White Board of Directors Honorarium	2019 Calvin White Board of Directors Honorarium	\$ 5,000.00	\$ 5,000.00	
The New School - Fayetteville, AR	PC	2019 Calvin White Board of Directors Honorarium	2019 Calvin White Board of Directors Honorarium	\$ 5,000.00	\$ 5,000.00	
United Way of New Jersey - Cedar Knolls, NJ	PC	ALICE in Arkansas	The United Way of Northern New Jersey will complete the ALICE® in Arkansas report	\$ -	\$ 60,000.00	\$ -
University of Arkansas Clinton School of Public Service	NC	Expanding Capacity to Strengthen Community Philanthropy	To build the Center on Community Philanthropy's capacity to increase prosperity and advance equity in Arkansas. The Center on Community Philanthropy is a department of the University of Arkansas - Clinton School of Public Service	\$ -	\$ 60,000.00	
University of Ccentral Arkansas - Conway, AR	NC	UCA Normal School Center for Educational Equity	Partner with the Harvard Disruptive Equity Education Project (Harvard DEEP) to launch UCA DEEP to examine inequity and system disruption within the UCA teacher preparation program and other programs statewide	\$ 150,000.00	\$ 75,000.00	\$ 75,000.00
Urban League of the State of Arkansas Inc - Little Rock, AR	PC	General Operating Support	To support the Urban League of Arkansas to carry out its mission to support advocacy, research, and services for black and brown communities so that all families can participate in a thriving and prosperous state that benefits all Arkansans	\$ 160,000.00	\$ 80,000.00	\$ 80,000.00
Women's Foundation of Arkansas - Little Rock, AR	PC	Capacity for Equity: Changing the Narrative on Women in the Arkansas Economy	To partner with the Yam to develop and document the stories of women entrepreneurs in Arkansas and equip the Women's Foundation with new storytelling tools to advance economic equity	\$ -	\$ 10,000.00	\$ -
Women's Foundation of Arkansas - Little Rock, AR	PC	General Operating Support	To provide general operating funds for its mission to support women and girls in Arkansas to realize and achieve their full potential, advancing economic equity for women	\$ 150,000.00	\$ 50,000.00	\$ 100,000.00
World Women Foundation - Winnetka, CA	PC	World Women Summit Sponsorship	2019 World Women Summit Sponsorship	\$ 5,000.00	\$ 5,000.00	
Unrestricted Grants Under \$5,000	PC			\$ 21,684.01	\$ 21,684.01	\$ -
Recission of previously awarded Grant				\$ (40,000.00)		
Total				\$ 3,631,684.01	\$ 2,137,027.01	\$ 2,637,494.00

# STATEMENT 22

PBC - With LM additions bolded and shaded. Mathematical accuracy verified in Excel.  
This rollforward summarizes additions and disposals. LM scanned entire detail noting no unusual items and no significant additions or disposals. Totals tie to GL without exception.

Winthrop Rockefeller Foundation 2019 Fixed Asset Records 12/31/2019																
(2)																
Tag #s	Description	Vendor	Acquisition Date	Life	1/1/2019	Additions	Retirements	12/31/2019	Accumulated Depreciation 1/1/19	Depreciation	Retirements	Accumulated Depreciation 12/31/19	Net Book Value	Monthly Dep'n	Dep'n Adj. For additions and retirements	Month Dep'n changes Start/Stop
1606	OFFICE EQUIPMENT & FURNISHINGS															
198	Off 216 31" fireproof file drawer	Cousins	5/7/2002	10	1,670.23			1,670.23	1,670.23	-		1,670.23	-	-		
	Sharp MX 4101 Copier	Business World	6/9/2011	5	10,393.10			10,393.10	10,393.12	-		10,393.12	(0.02)			
1	Reception Quoin Side Chairs	Today's Office	2/1/2009	10	1,600.25			1,600.25	1,586.92	13.34		1,600.26	(0.01)	13.34	(13.34)	February
2	Reception Quoin Side Chairs	Today's Office	2/1/2009	10	1,600.25			1,600.25	1,586.92	13.34		1,600.26	(0.01)	13.34	(13.34)	February
3	Reception Side Table	Innerplan	2/1/2009	10	597.03			597.03	592.06	4.98		597.04	(0.01)	4.98	(4.98)	February
4	Reception Side Table	Innerplan	2/1/2009	10	597.03			597.03	592.06	4.98		597.04	(0.01)	4.98	(4.98)	February
5	Reception Coffee Table	Innerplan	2/1/2009	10	732.16			732.16	726.05	6.10		732.15	0.01	6.10	(6.10)	February
6	Reception Occasional table under TV	Innerplan	2/1/2009	10	595.94			595.94	590.98	4.97		595.95	(0.01)	4.97	(4.97)	February
7	Reception Sofa	Today's Office	2/1/2009	10	2,742.39			2,742.39	2,719.55	22.85		2,742.40	(0.01)	22.85	(22.85)	February
8	Reception Kathryn Guest Chair	Today's Office	2/1/2009	10	749.20			749.20	742.96	6.24		749.20	(0.00)	6.24	(6.24)	February
9	Reception Kathryn Guest Chair	Today's Office	2/1/2009	10	749.20			749.20	742.96	6.24		749.20	(0.00)	6.24	(6.24)	February
12	Reception Overhead Cabinet	Innerplan	2/1/2009	10	2,379.23			2,379.23	2,359.41	19.83		2,379.24	(0.01)	19.83	(19.83)	February
13	Reception Lateral Files & Modular Top	Innerplan	2/1/2009	10	824.30			824.30	817.43	6.87		824.30	0.00	6.87	(6.87)	February
15	Reception Lateral Files & Modular Top	Innerplan	2/1/2009	10	280.87			280.87	278.54	2.34		280.88	(0.01)	2.34	(2.34)	February
	Reception Desk	Innerplan	5/15/2009	10	2,562.64			2,562.64	2,477.22	85.42		2,562.64	(0.00)	21.36	(21.36)	May
46	Hallway Modular Mobile Ottoman	Today's Office	2/1/2009	10	1,962.97			1,962.97	1,946.62	16.36		1,962.98	(0.01)	16.36	(16.36)	February
47 - 50	Breakroom 4 tall stools	Today's Office	2/1/2009	10	1,046.20			1,046.20	1,037.48	8.72		1,046.20	0.00	8.72	(8.72)	February
51-52	Breakroom 2 tables	Today's Office	2/1/2009	10	916.20			916.20	908.57	7.64		916.21	(0.00)	7.64	(7.64)	February
53	Breakroom catering cart	Innerplan	2/1/2009	10	610.91			610.91	605.82	5.09		610.91	(0.00)	5.09	(5.09)	February
67-69	2 drawer laterals with common top	Today's Office	2/1/2009	10	2,464.71			2,464.71	2,444.17	20.54		2,464.71	0.00	20.54	(20.54)	February
70	Off 205 Combination Cabinet	Innerplan	2/1/2009	10	979.41			979.41	971.25	8.16		979.41	(0.00)	8.16	(8.16)	February
71-72	Off 205 Overhead Storage Unit	Innerplan	2/1/2009	10	1,617.41			1,617.41	1,603.93	13.48		1,617.41	0.00	13.48	(13.48)	February
73-76	Off 205 Credenza & Desk Unit	Innerplan	2/1/2009	10	2,337.87			2,337.87	2,318.39	19.48		2,337.87	(0.00)	19.48	(19.48)	February
78-79	Off 205 Side chairs	Today's Office	2/1/2009	10	708.80			708.80	702.89	5.91		708.80	0.00	5.91	(5.91)	February
81-84	Off 206 Credenza & Desk Unit	Innerplan	2/1/2009	10	2,246.92			2,246.92	2,228.20	18.72		2,246.92	(0.00)	18.72	(18.72)	February
85-86	Off 206 Double credenza unit	Innerplan	2/1/2009	10	1,285.88			1,285.88	1,275.16	10.72		1,285.88	0.00	10.72	(10.72)	February
87-88	Off 206 Double Overhead unit	Innerplan	2/1/2009	10	1,617.78			1,617.78	1,604.30	13.48		1,617.78	(0.00)	13.48	(13.48)	February
89-90	Off 206 Overhead storage unit	Innerplan	2/1/2009	10	1,617.41			1,617.41	1,603.93	13.48		1,617.41	0.00	13.48	(13.48)	February
91-92	Off 207 2 side chairs	Today's Office	2/1/2009	10	708.80			708.80	702.89	5.91		708.80	0.00	5.91	(5.91)	February
93-94	Off 207 Double Overhead unit	Innerplan	2/1/2009	10	1,617.41			1,617.41	1,603.93	13.48		1,617.41	0.00	13.48	(13.48)	February
96-99	Off 207 Credenza & Desk Unit	Innerplan	2/1/2009	10	2,643.08			2,643.08	2,621.05	22.03		2,643.08	0.00	22.03	(22.03)	February
100	Off 207 2 drawer credenza	Innerplan	2/1/2009	10	642.94			642.94	637.59	5.36		642.95	(0.01)	5.36	(5.36)	February
102-106	Off 209 5 side chairs	Today's Office	2/1/2009	10	1,772.00			1,772.00	1,772.23	14.77		1,772.00	0.00	14.77	(14.77)	February
108-111	Off 209 Credenza & Desk Unit	Innerplan	2/1/2009	10	2,671.00			2,671.00	2,648.74	22.26		2,671.00	0.00	22.26	(22.26)	February
112	Off 209 2 drawer credenza	Innerplan	2/1/2009	10	642.94			642.94	637.59	5.36		642.95	(0.01)	5.36	(5.36)	February
114-115	Off 209 Overhead storage unit	Innerplan	2/1/2009	10	1,617.41			1,617.41	1,603.93	13.48		1,617.41	0.00	13.48	(13.48)	February
116	Off 210 side chairs	Innerplan	2/1/2009	10	354.40			354.40	351.45	2.95		354.40	(0.00)	2.95	(2.95)	February
117	Off 210 side chairs	Innerplan	2/1/2009	10	354.40			354.40	351.44	2.95		354.39	0.01	2.95	(2.95)	February
118	Off 210 side chairs	Innerplan	2/1/2009	10	354.40			354.40	351.45	2.95		354.40	(0.00)	2.95	(2.95)	February
120	Off 210 side chairs	Innerplan	2/1/2009	10	354.40			354.40	351.45	2.95		354.40	(0.00)	2.95	(2.95)	February
122	Off 218 Desk P-top	Innerplan	2/1/2009	10	694.58			694.58	688.79	5.79		694.58	0.00	5.79	(5.79)	February
123	Off 218 Desk Bridge	Innerplan	2/1/2009	10	433.06			433.06	429.45	3.61		433.06	0.00	3.61	(3.61)	February
125	Off 218 Credenza combo file	Innerplan	2/1/2009	10	717.72			717.72	711.74	5.98		717.72	(0.00)	5.98	(5.98)	February
126	Off 210 Bookcase	Innerplan	2/1/2009	10	401.80			401.80	398.45	3.35		401.80	0.00	3.35	(3.35)	February
128-129	Off 210 Overhead storage unit	Innerplan	2/1/2009	10	1,567.18			1,567.18	1,554.12	13.06		1,567.18	0.00	13.06	(13.06)	February
130	Off 210 Small meeting table	Innerplan	2/1/2009	10	285.78			285.78	283.40	2.38		285.78	(0.00)	2.38	(2.38)	February
131	Off 209 Small meeting table	Innerplan	2/1/2009	10	285.78			285.78	283.40	2.38		285.78	(0.00)	2.38	(2.38)	February
133	211 Team Room Esasel (rolling)	Innerplan	2/1/2009	10	584.35			584.35	579.49	4.87		584.36	(0.01)	4.87	(4.87)	February
134-137	Off 212 Credenza & Desk unit	Innerplan	2/1/2009	10	2,318.60			2,318.60	2,299.28	19.32		2,318.60	(0.00)	19.32	(19.32)	February
138-140	Off 212 Credenzas (2 2drawer w/ common top)	Innerplan	2/1/2009	10	1,643.18			1,643.18	1,629.48	13.69		1,643.17	0.01	13.69	(13.69)	February
141	Off 212 Combination Cabinet	Innerplan	2/1/2009	10	1,037.83			1,037.83	1,029.18	8.65		1,037.83	0.00	8.65	(8.65)	February
142-143	Off 212 Overhead Storage unit	Innerplan	2/1/2009	10	1,617.41			1,617.41	1,603.93	13.48		1,617.41	0.00	13.48	(13.48)	February
144	Off 212 Side chair	Today's Office	2/1/2009	10	354.40			354.40	351.44	2.95		354.39	0.01	2.95	(2.95)	February
147-148	Off 213 Alina Lounge Chairs (2)	Today's Office	2/1/2009	10	3,146.22			3,146.22	3,120.00	26.22		3,146.22	0.00	26.22	(26.22)	February
150	Off 213 Side Chairs	Today's Office	2/1/2009	10	354.40			354.40	351.45	2.95		354.40	(0.00)	2.95	(2.95)	February
152	Off 213 Side Chairs	Today's Office	2/1/2009	10	354.40			354.40	351.45	2.95		354.40	(0.00)	2.95	(2.95)	February
154	Off 213 Side Chairs	Today's Office	2/1/2009	10	354.40			354.40	351.45	2.95		354.40	(0.00)	2.95	(2.95)	February
157	Off 213 Overhead Unit 60"	Innerplan	2/1/2009	10	833.82			833.82	826.87	6.95		833.82	0.00	6.95	(6.95)	February
158,162-164	Off 213 Credenza & Desk	Innerplan	2/1/2009	10	2,295.91			2,295.91	2,276.78	19.13		2,295.91	(0.00)	19.13	(19.13)	February

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159-161	Off 213 Credenzas (2drawer) & top	Innerplan	2/1/2009	10	1,713.06	1,713.06	1,698.78	14.28	1,713.06	0.00	14.28	(14.28)	February
167	Off 214 Side Chair	Today's Office	2/1/2009	10	354.40	354.40	351.44	2.95	354.39	0.01	2.95	(2.95)	February
168-171	Off 214 Box file & Desk	Innerplan	2/1/2009	10	1,980.36	1,980.36	1,963.85	16.50	1,980.35	0.01	16.50	(16.50)	February
173-174	Off 214 Overhead units	Innerplan	2/1/2009	10	1,617.41	1,617.41	1,603.93	13.48	1,617.41	0.00	13.48	(13.48)	February
175 - 176	Off 214 3 drawer files with common top	Today's Office	2/1/2009	10	2,160.42	2,160.42	2,142.42	18.00	2,160.42	(0.00)	18.00	(18.00)	February
178-182	Off 215 Side chairs (5)	Today's Office	2/1/2009	10	1,772.00	1,772.00	1,772.23	14.77	1,772.00	0.00	14.77	(14.77)	February
183-185, 189	Off 215 Combo box file & desk	Innerplan	2/1/2009	10	2,226.04	2,226.04	2,207.49	18.55	2,226.04	(0.00)	18.55	(18.55)	February
186-188	Off 215 Credenzas & common top	Innerplan	2/1/2009	10	1,713.06	1,713.06	1,698.78	14.28	1,713.06	0.00	14.28	(14.28)	February
190-191	Off 215 Overhead unit	Innerplan	2/1/2009	10	1,707.10	1,707.10	1,692.87	14.23	1,707.10	0.00	14.23	(14.23)	February
192	Off 215 Small Meeting Table	Innerplan	2/1/2009	10	285.78	285.78	283.40	2.38	285.78	(0.00)	2.38	(2.38)	February
193	Off 213 Small Meeting Table	Innerplan	2/1/2009	10	285.78	285.78	283.40	2.38	285.78	(0.00)	2.38	(2.38)	February
195	Off 216 High Back Chair	Today's Office	2/1/2009	10	486.48	486.48	482.42	4.05	486.47	0.01	4.05	(4.05)	February
199-201	Off 216 Wall storage & files with common top	Today's Office	2/1/2009	10	2,918.68	2,918.68	2,894.36	24.32	2,918.68	(0.00)	24.32	(24.32)	February
202-204	Off 216 Center lateral files with common top	Today's Office	2/1/2009	10	2,440.90	2,440.90	2,420.56	20.34	2,440.90	(0.00)	20.34	(20.34)	February
206-211	Off 218 Library Side chairs (6)	Today's Office	2/1/2009	10	2,126.40	2,126.40	2,108.68	17.72	2,126.40	-	17.72	(17.72)	February
212-213	Off 218 Library Bookcases (2)	Innerplan	2/1/2009	10	663.86	663.86	658.32	5.53	663.85	0.01	5.53	(5.53)	February
227	217 Executives Hallway table	Today's Office	2/1/2009	10	407.58	407.58	404.18	3.40	407.58	0.00	3.40	(3.40)	February
228 & 230	217 EH Tablet Chairs - Blue fabric	Today's Office	2/1/2009	10	3,141.90	3,141.90	3,115.72	26.18	3,141.90	(0.00)	26.18	(26.18)	February
229 & 231	217 EH Tablet Chairs - Brown pattern	Today's Office	2/1/2009	10	4,116.78	4,116.78	4,082.47	34.31	4,116.78	0.00	34.31	(34.31)	February
232-233	211 Team Rm Jenny Tablet Chairs - sand fabr	Today's Office	2/1/2009	10	1,418.02	1,418.02	1,406.21	11.82	1,418.03	(0.01)	11.82	(11.82)	February
234-235	Prog Hall Tablet Chairs - Brown pattern fabric	Today's Office	2/1/2009	10	4,116.78	4,116.78	4,082.47	34.31	4,116.78	0.00	34.31	(34.31)	February
239	Office side chair	Today's Office	8/6/2009	10	406.89	406.89	383.15	23.74	406.89	0.00	3.39	(3.39)	August
	Inner Plan final payment	Innerplan	6/18/2009	10	989.84	989.84	948.60	41.24	989.84	(0.00)	8.25	(8.25)	June
	Art Work for offices	CIRCA Inc	11/3/2009	10	11,700.00	11,700.00	10,725.00	975.00	11,700.00	-	97.50	(97.50)	November
	Reception desk additions	Innerplan	6/29/2010	8.8	4,249.85	4,249.85	4,089.48	160.37	4,249.85	(0.00)	40.09	(40.09)	May
	New office furniture: desk	Innerplan	10/23/2012	6.33	3,136.52	3,136.52	3,055.60	82.58	3,138.18	(1.66)	41.29	(41.29)	MARCH
	Recycle bins for Kitchen	Amazon	10/5/2013	5	1,037.45	1,037.45	1,037.46	-	1,037.46	(0.01)			
268	Combination cabinet	Innerplan	11/4/2015	10	1,605.55	1,605.55	508.42	160.56	668.98	936.58	13.38		
270	Credenza P-Top	Innerplan	11/4/2015	10	894.12	894.12	283.14	89.41	372.55	521.57	7.45		
271	Credenza Bridge	Innerplan	11/4/2015	10	462.62	462.62	146.50	46.26	192.76	269.86	3.86		
272	Credenza Desk	Innerplan	11/4/2015	10	977.56	977.56	309.56	97.76	407.32	570.24	8.15		
273	Credenza file	Innerplan	11/4/2015	10	1,001.75	1,001.75	317.22	100.18	417.40	584.36	8.35		
274	Over head Cabinet	Innerplan	11/4/2015	10	2,572.25	2,572.25	814.55	257.23	1,071.78	1,500.48	21.44		
	Framing / Artwork (hung 10/28)	Peapod LLC	10/19/2015	10	2,645.67	2,645.67	837.80	264.57	1,102.37	1,543.30	22.05		
254	Credenza file	Innerplan	5/3/2016	10	469.98	469.98	125.33	47.00	172.33	297.65	3.92		
255	Credenza L-top	Innerplan	5/3/2016	10	1,736.89	1,736.89	463.17	173.69	636.86	1,100.03	14.47		
256	Credenza Desk	Innerplan	5/3/2016	10	910.15	910.15	242.71	91.02	333.73	576.43	7.58		
257	Credenza 2 drawer file	Innerplan	5/3/2016	10	1,079.57	1,079.57	287.89	107.96	395.85	683.72	9.00		
259	Credenza 2 drawer file	Innerplan	5/3/2016	10	469.98	469.98	125.33	47.00	172.33	297.65	3.92		
260	Credenza Desk	Innerplan	5/3/2016	10	1,039.08	1,039.08	277.09	103.91	381.00	658.08	8.66		
261	Credenza L-top	Innerplan	5/3/2016	10	1,736.86	1,736.86	463.17	173.69	636.86	1,100.00	14.47		
262	Credenza 2 drawer file	Innerplan	5/3/2016	10	1,079.58	1,079.58	287.89	107.96	395.85	683.73	9.00		
264	New desk chairs - new office	Evo Business	6/7/2016	10	886.06	886.06	228.90	88.61	317.51	568.55	7.38		
265	New desk chairs - new office	Evo Business	6/7/2016	10	886.06	886.06	228.90	88.61	317.51	568.55	7.38		
296	Massuad Grey Chair	Today's Office	12/31/2018	10	1,306.99	1,306.99	-	130.70	130.70	1,176.29	10.89		Jan-19
297	Massuad Grey Chair	Today's Office	12/31/2018	10	1,306.99	1,306.99	-	130.70	130.70	1,176.29	10.89		Jan-19
298	Massuad Grey Chair	Today's Office	12/31/2018	10	1,306.99	1,306.99	-	130.70	130.70	1,176.29	10.89		Jan-19
301	Massuad Grey Chair	Today's Office	12/31/2018	10	1,306.99	1,306.99	-	130.70	130.70	1,176.29	10.89		Jan-19
302	Massuad Grey Chair	Today's Office	12/31/2018	10	1,306.99	1,306.99	-	130.70	130.70	1,176.29	10.89		Jan-19
303	Ology Intern Desk	Today's Office	12/31/2018	10	1,177.80	1,177.80	-	117.78	117.78	1,060.02	9.82		Jan-19
304	Ology Intern Desk	Today's Office	12/31/2018	10	1,177.80	1,177.80	-	117.78	117.78	1,060.02	9.82		Jan-19
305	Intern Cabinet w/ coat closet	Today's Office	12/31/2018	10	1,706.35	1,706.35	-	170.64	170.64	1,535.72	14.22		Jan-19
306	Intern Cabinet w/ coat closet	Today's Office	12/31/2018	10	1,706.35	1,706.35	-	170.64	170.64	1,535.72	14.22		Jan-19
307	"Eleven" White Trapezoid Table	Today's Office	12/31/2018	10	4,431.20	4,431.20	-	443.12	443.12	3,988.08	36.93		Jan-19
308	Coact Corner Curved Bench	Today's Office	12/31/2018	10	2,484.14	2,484.14	-	248.41	248.41	2,235.73	20.70		Jan-19
309	Coact Sofa	Today's Office	12/31/2018	10	2,844.07	2,844.07	-	284.41	284.41	2,559.66	23.70		Jan-19
310	Coact Lounge Chair	Today's Office	12/31/2018	10	1,376.35	1,376.35	-	137.64	137.64	1,238.72	11.47		Jan-19
311	Coact Loveseat	Today's Office	12/31/2018	10	2,141.28	2,141.28	-	214.13	214.13	1,927.15	17.84		Jan-19
312	Massuad Grey Chair	Today's Office	12/31/2018	10	1,306.99	1,306.99	-	130.70	130.70	1,176.29	10.89		Jan-19
313	Massuad Grey Chair	Today's Office	12/31/2018	10	1,306.99	1,306.99	-	130.70	130.70	1,176.29	10.89		Jan-19
314	Massuad Grey Chair	Today's Office	12/31/2018	10	1,306.99	1,306.99	-	130.70	130.70	1,176.29	10.89		Jan-19
315	Massuad Grey Chair	Today's Office	12/31/2018	10	1,306.99	1,306.99	-	130.70	130.70	1,176.29	10.89		Jan-19
316	Massuad Grey Chair	Today's Office	12/31/2018	10	1,306.99	1,306.99	-	130.70	130.70	1,176.29	10.89		Jan-19
317	Massuad Grey Chair	Today's Office	12/31/2018	10	1,306.99	1,306.99	-	130.70	130.70	1,176.29	10.89		Jan-19
318	Massuad Grey Chair	Today's Office	12/31/2018	10	1,306.99	1,306.99	-	130.70	130.70	1,176.29	10.89		Jan-19
319	Massuad Grey Chair	Today's Office	12/31/2018	10	1,306.99	1,306.99	-	130.70	130.70	1,176.29	10.89		Jan-19
320	Massuad Grey Chair	Today's Office	12/31/2018	10	1,306.99	1,306.99	-	130.70	130.70	1,176.29	10.89		Jan-19
321	Massuad Grey Chair	Today's Office	12/31/2018	10	1,306.99	1,306.99	-	130.70	130.70	1,176.29	10.89		Jan-19
322	Massuad Grey Chair	Today's Office	12/31/2018	10	1,306.99	1,306.99	-	130.70	130.70	1,176.29	10.89		Jan-19
323	Massuad Grey Chair	Today's Office	12/31/2018	10	1,306.99	1,306.99	-	130.70	130.70	1,176.29	10.89		Jan-19
324	Massuad Grey Chair	Today's Office	12/31/2018	10	1,306.99	1,306.99	-	130.70	130.70	1,176.29	10.89		Jan-19

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325	Massuad Grey Chair	Today's Office	12/31/2018	10	1,306.99		1,306.99	-	130.70	130.70	1,176.29	10.89		Jan-19		
326	Massuad Grey Chair	Today's Office	12/31/2018	10	1,306.99		1,306.99	-	130.70	130.70	1,176.29	10.89		Jan-19		
327	Massuad Grey Chair	Today's Office	12/31/2018	10	1,306.99		1,306.99	-	130.70	130.70	1,176.29	10.89		Jan-19		
328	Massuad Grey Chair	Today's Office	12/31/2018	10	1,306.99		1,306.99	-	130.70	130.70	1,176.29	10.89		Jan-19		
329	Massuad Grey Chair	Today's Office	12/31/2018	10	1,306.99		1,306.99	-	130.70	130.70	1,176.29	10.89		Jan-19		
330	Massuad Grey Chair	Today's Office	12/31/2018	10	1,306.99		1,306.99	-	130.70	130.70	1,176.29	10.89		Jan-19		
331	Massuad Grey Chair	Today's Office	12/31/2018	10	1,306.99		1,306.99	-	130.70	130.70	1,176.29	10.89		Jan-19		
332	Massuad Grey Chair	Today's Office	12/31/2018	10	1,306.99		1,306.99	-	130.70	130.70	1,176.29	10.89		Jan-19		
333	Massuad Grey Chair	Today's Office	12/31/2018	10	1,306.99		1,306.99	-	130.70	130.70	1,176.29	10.89		Jan-19		
334	Massuad Grey Chair	Today's Office	12/31/2018	10	1,306.99		1,306.99	-	130.70	130.70	1,176.29	10.89		Jan-19		
335	Massuad Grey Chair	Today's Office	12/31/2018	10	1,306.99		1,306.99	-	130.70	130.70	1,176.29	10.89		Jan-19		
336	Massuad Grey Chair	Today's Office	12/31/2018	10	1,306.99		1,306.99	-	130.70	130.70	1,176.29	10.89		Jan-19		
337	Massuad Grey Chair	Today's Office	12/31/2018	10	1,306.99		1,306.99	-	130.70	130.70	1,176.29	10.89		Jan-19		
338	Massuad Grey Chair	Today's Office	12/31/2018	10	1,306.99		1,306.99	-	130.70	130.70	1,176.29	10.89		Jan-19		
339	Akira Board Room Table	Today's Office	12/31/2018	10	1,842.80		1,842.80	-	184.28	184.28	1,658.52	15.36		Jan-19		
340	Akira Board Room Table	Today's Office	12/31/2018	10	1,842.80		1,842.80	-	184.28	184.28	1,658.52	15.36		Jan-19		
341	Akira Board Room Table	Today's Office	12/31/2018	10	1,842.80		1,842.80	-	184.28	184.28	1,658.52	15.36		Jan-19		
342	Akira Board Room Table	Today's Office	12/31/2018	10	1,842.80		1,842.80	-	184.28	184.28	1,658.52	15.36		Jan-19		
343	Akira Board Room Table	Today's Office	12/31/2018	10	1,842.80		1,842.80	-	184.28	184.28	1,658.52	15.36		Jan-19		
344	Akira Board Room Table	Today's Office	12/31/2018	10	1,842.80		1,842.80	-	184.28	184.28	1,658.52	15.36		Jan-19		
	Steelcase Thread product (electrical)	Today's Office	12/31/2018	10	4,683.08		4,683.08	-	468.31	468.31	4,214.77	39.03		Jan-19		
346	Indie Sofa & Pouf	Today's Office	12/31/2018	10	4,932.65		4,932.65	-	493.27	493.27	4,439.39	41.11		Jan-19		
347	Brody Working Lounge Yellow chair & pouf	Today's Office	12/31/2018	10	1,942.39		1,942.39	-	194.24	194.24	1,748.15	16.19		Jan-19		
348	Refrigerator (paid for in FEB)	Baldwin & Shell	12/17/2018	10	1,753.00		1,753.00	-	175.30	175.30	1,577.70	14.61		Jan-19		
345,349,351	New audio video equipment	Advanced Cabling	12/17/2018	10	26,930.00	997.50	27,927.50	-	2,792.75	2,792.75	25,134.75	232.73		Jan-19		
350	Credenza	Today's Office	1/30/2019	10	-	2,564.58	2,564.58	-	235.09	235.09	2,329.49		21.37	February		
TOTAL					272,294.30	3,562.08	-	275,856.38	143,391.85	15,827.98	-	159,219.84	116,636.54	2,188.10	(1,053.24)	1,134.86
					T	F-01		F-01, T	TB-05	F-01		DR5202/CR1626				
					FN	FN										
1608	TENANT IMPROVEMENTS 1615	(1)														
	Feature Wall*	Now	ongoing	10	5,843.64		5,843.64	5,600.16	243.49	5,843.65	(0.00)	48.70	(48.70)		May	
	Sound Masking System	Today's Office	2/1/2009	10	6,797.63		6,797.63	6,740.99	56.65	6,797.64	(0.01)	56.65	(56.65)		Feb	
	Draper Shades	Innerplan	5/28/2009	9.75	18,679.36		18,679.36	18,360.05	319.31	18,679.36	0.00	159.65	(159.65)		March	
	Payments to Baldwin Shell**	Baldwin Shell	2/1/2009	10	66,804.00		66,804.00	66,247.30	556.70	66,804.00	-	556.70	(556.70)		Feb	
	Payments to Baldwin Shell**	Baldwin Shell	12/10/2015	3.17	22,334.00		22,334.00	21,746.26	587.74	22,334.00	0.00	587.74	(587.74)		Feb	
	Evironmanics Glass Walls	Today's Office	12/17/2018	10.00	27,098.29		27,098.29	-	2,709.83	2,709.83	24,388.46	225.82		Jan-19		
	Payments to BS and TO in February 2019	BS & TO	12/17/2018	10.00	157,155.00		157,155.00	-	15,715.50	15,715.50	141,439.50	1,309.63		Jan-19		
	New Feature Wall	DFI Architectural S	12/17/2018	10.00	5,359.50		5,359.50	-	535.95	535.95	4,823.55	44.66		Jan-19		
	Entrance Sign in Lobby	DFI Architectural S	12/17/2018	10.00	5,359.50		5,359.50	-	535.95	535.95	4,823.55	44.66		Jan-19		
	Dry erase board for Board room	Evo Business	3/5/2019	10.00		2,521.97	2,521.97	-	210.16	210.16	2,311.81		21.02	MARCH		
	Net TI				315,430.92	2,521.97	-	317,952.89	118,694.76	21,471.27	-	140,166.03	177,786.86	3,034.20	(1,388.42)	1,645.78
					T	F-01		F-01, T	TB-05	F-01		DR5200/CR1628				
					FN	FN										
1605	COMPUTER SOFTWARE & HARDWARE															
	Dell PC FTMJDA1 - Intern	Deill	2/9/2014	3	2,195.55		2,195.55	2,195.55	-	2,195.55	-					
	Dell PC WRFLTPC40 G01MDZ1 Intern	Dell	2/9/2014	3	2,195.55		2,195.55	2,195.55	-	2,195.55	-					
294	New Laptop Apple, Sherece	Apple	11/26/2014	3	1,263.26		1,263.26	1,263.26	-	1,263.26	-					
291	New Laptop Apple, Cory	Apple	11/26/2014	3	1,263.26		1,263.26	1,263.26	-	1,263.26	-					
275	New laptop Apple MM (becomes board room	Apple	11/26/2014	3	1,263.26	(1,263.26)	-	1,263.26		(1,263.26)	-					
300	New Laptop Apple Andrew	Apple	11/26/2014	3	1,263.26	(1,263.26)	-	1,263.26		(1,263.26)	-					
286	New Laptop Apple Russell	Apple	11/26/2014	3	1,775.55	(1,775.55)	-	1,775.55		(1,775.55)	-					
288	New Laptop Apple Regan	Apple	11/26/2014	3	1,160.79		1,160.79	1,160.79	-	1,160.79	-					
292	New Laptop Apple Brad	Apple	4/29/2015	3	1,570.64		1,570.64	1,570.64	-	1,570.64	-					
281	iMac desktop - PBC	Apple	6/1/2015	3	2,213.79		2,213.79	2,213.79	-	2,213.79	-					
285	iMac desktop - RAC	Apple	6/1/2015	3	2,213.79	(2,213.79)	-	2,213.79		(2,213.79)	-					
289	New Laptop Apple HK	Apple	6/1/2015	3	1,575.05	(1,575.05)	-	1,575.05		(1,575.05)	-					
278	iMac desktop - SWS	Apple	8/6/2015	3	2,213.79	(2,213.79)	-	2,213.79		(2,213.79)	-					
	Mac Mini Server	Apple	8/14/2015	3	1,632.82		1,632.82	1,632.82	-	1,632.82	-					
277	AD Apple Display and Dock	Apple	7/10/2016	3	1,401.74	(1,401.74)	-	1,168.12	233.62	(1,401.74)	0.00	(0.00)	38.94	(38.94)		July
282	Macbook Air for SCM PROG DIMENSION	Apple	8/15/2016	3	1,779.97		1,779.97	1,433.86	346.11	1,779.97	0.00	49.44	(49.44)		August	
279	Thunderbolt Display - CA PROG DIMENSION	Apple	8/22/2016	3	1,394.08		1,394.08	1,084.28	309.80	1,394.08	0.00	38.72	(38.72)		Sept	
276	Macbook Pro - AD	Apple	9/30/2016	3	2,931.01		2,931.01	2,198.26	732.75	2,931.01	(0.00)	81.42	(81.42)		Oct	
293	Laptop - DL PROG DIMENSION	Apple	4/25/2016	3	1,330.89		1,330.89	1,183.01	147.88	1,330.89	0.00	36.97	(36.97)		May	
	Wireless Router upgrades	VTs	12/4/2016	3	4,650.21		4,650.21	3,229.31	1,420.90	4,650.21	0.00	129.17	(129.17)		Dec	
0287	New Surface Laptop/PC for MC	Amazon	1/5/2017	3	2,418.32		2,418.32	1,612.21	806.11	2,418.32	0.00	67.18				
	Kaspersky virus protection	VTs	2/17/2017	3	1,200.25		1,200.25	766.83	400.08	1,166.91	33.34	33.34				
280	New iMac for RM PROG DIMENSION	Apple	4/6/2017	3	2,150.57		2,150.57	1,254.50	716.86	1,971.36	179.21	59.74				
284	New iMac for MM PROG DIMENSION	Apple	4/6/2017	3	1,535.81		1,535.81	895.89	511.94	1,407.83	127.98	42.66				
290	New MacBook Air for MM PROG DIMENSION	Apple	12/13/2017	3	1,638.56		1,638.56	591.70	546.19	1,137.89	500.67	45.52				

STATEMENT 22

283	New Front Desk iMac PROG DIMENSION	Apple	12/19/2017	3	1,668.05		1,668.05	602.35	556.02	1,158.37	509.68	46.33				
	Conference Room phone	VTs	2/21/2018	3	6,061.03		6,061.03	1,683.62	2,020.34	3,703.96	2,357.07	168.36				
Needs #	New iMac for SHH PROG DIMENSION	Apple	4/4/2019	3	-	2,213.97	2,213.97	-	553.49	553.49	1,660.48		61.50	April		
	Synology Disk Station /Drives for backup	Synology	12/1/2019	3	-	1,409.18	1,409.18	-	39.14	39.14	1,370.04		39.14	December		
	TOTAL				53,960.85	3,623.15	(11,706.44)	45,877.56	41,504.30	9,341.22	(11,706.44)	39,139.08	6,738.48	837.79	(274.01)	563.78
				T			F-01	F-01, T	TB-05		F-01	DR5124/CR1625				
				FN			FN									
GRAND TOTAL PER ROLLFORWARD					641,686.07	9,707.20	(11,706.44)	639,686.83	303,590.91	46,640.47	(11,706.44)	338,524.94	301,161.89	6,060.09	(2,715.67)	3,344.42
						(X)	(Y)			(A), TB-05	(Z)					
BALANCE PER F-01					641,686.00			639,687.00	303,591.00			338,525.00	301,162.00			
					F-01			F-01				F-01	F-01			
												FN				
Difference - Immaterial					0.07			(0.17)	(0.09)			(0.06)	(0.11)			

(1) WRF has capitalized tenant improvements totaling approximately 300k over the PY and CY. Per review of the lease agreement at PF-300a, that became effective on 8/1/18, the lease was extended for a period of 10 years. LM scanned the useful lives assigned and noted that such appear consistent with the lease terms (useful lives of these assets are 10 years or less). Appears reasonable. PFW.

(2) Depreciation expense amounts would typically be tied directly to the expense lead. However, LM regroups expense account balances to easily flow into and automatically update the statement of functional expenses. Therefore, LM ties depreciation expense to the PBC trial balance file at TB-05 (see "Work" tab) so that each depreciation amount may be tied back to the TB. See the balances LM regroups to arrive at total depreciation expense (shown at J-01b) for all asset classifications at TB-05a.

Statement of Cash Flow		
Beginning Balance	338,095	T
Additions	9,707	(X)
Retirements - as	(11,706)	(Y)
Retirements - ac	11,706	(Z)
Net loss of dispo	-	
depreciation	(46,640)	(A)
Ending Balance	301,162	FN
	F-01	

Net balance 1/1/2019:	338,095.16
Agrees to 2018 Audit	

**Application for Automatic Extension of Time To File an  
Exempt Organization Return**

OMB No. 1545-0047

► **File a separate application for each return.**  
► **Go to [www.irs.gov/Form8868](http://www.irs.gov/Form8868) for the latest information.**

**Electronic filing (e-file).** You can electronically file Form 8868 to request a 6-month automatic extension of time to file any of the forms listed below with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, for which an extension request must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit [www.irs.gov/e-file-providers/e-file-for-charities-and-non-profits](http://www.irs.gov/e-file-providers/e-file-for-charities-and-non-profits).

**Automatic 6-Month Extension of Time.** Only submit original (no copies needed).

All corporations required to file an income tax return other than Form 990-T (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

<b>Type or print</b>  File by the due date for filing your return. See instructions.	Name of exempt organization or other filer, see instructions.  <b>THE WINTHROP ROCKEFELLER FOUNDATION</b>	Taxpayer identification number (TIN)  <b>71-0285871</b>
	Number, street, and room or suite no. If a P.O. box, see instructions. <b>225 EAST MARKHAM STREET, NO. 200</b>	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. <b>LITTLE ROCK, AR 72201</b>	

Enter the Return Code for the return that this application is for (file a separate application for each return) 

0	4
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Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

**ANDREA M. DOBSON**

- The books are in the care of ► **225 EAST MARKHAM STREET, STE 200 - LITTLE ROCK, AR 72201**  
Telephone No. ► **(501) 376-6854** Fax No. ► \_\_\_\_\_
- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) \_\_\_\_\_. If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and TINs of all members the extension is for.

- 1 I request an automatic 6-month extension of time until **NOVEMBER 16, 2020**, to file the exempt organization return for the organization named above. The extension is for the organization's return for:  
► ☒ calendar year **2019** or  
► ☐ tax year beginning \_\_\_\_\_, and ending \_\_\_\_\_.

- 2 If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return  
☐ Change in accounting period

3a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$	<b>105,681.</b>
b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$	<b>115,945.</b>
c <b>Balance due.</b> Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$	<b>0.</b>

**Caution:** If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.