

Form **990-PF**
 Department of the Treasury
 Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation
 ▶ Do not enter social security numbers on this form as it may be made public.
 ▶ Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

OMB No 1545-0052
2017
Open to Public Inspection

For calendar year 2017, or tax year beginning 01-01-2017, and ending 12-31-2017

Name of foundation THE WINTHROP ROCKEFELLER FOUNDATION		A Employer identification number 71-0285871
Number and street (or P O box number if mail is not delivered to street address) 225 EAST MARKHAM STREET NO 200	Room/suite	B Telephone number (see instructions) (501) 376-6854
City or town, state or province, country, and ZIP or foreign postal code LITTLE ROCK, AR 72201		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ 141,195,708	J Accounting method: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses <i>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))</i>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)	89,000			
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	566,742	683,446		
	4 Dividends and interest from securities	1,123,517	1,890,387		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	8,404,946			
	b Gross sales price for all assets on line 6a	38,286,065			
	7 Capital gain net income (from Part IV, line 2)		8,205,198		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)			12,465		
12 Total. Add lines 1 through 11	10,184,205	10,791,496	0		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	776,896	129,405	0	647,491
	14 Other employee salaries and wages	675,564	0	0	675,564
	15 Pension plans, employee benefits	407,517	22,493	0	385,024
	16a Legal fees (attach schedule)	13,448	9,110	0	4,338
	b Accounting fees (attach schedule)	54,950	24,000	0	30,950
	c Other professional fees (attach schedule)	783,542	576,786	0	215,204
	17 Interest	41	112,855	0	0
	18 Taxes (attach schedule) (see instructions)	166,042	71,326	0	0
	19 Depreciation (attach schedule) and depletion	54,891	0	0	
	20 Occupancy	138,307	0	0	138,307
	21 Travel, conferences, and meetings	74,814	3,193	0	71,621
	22 Printing and publications	52,930	0	0	52,930
	23 Other expenses (attach schedule)	890,559	254,507	0	866,696
	24 Total operating and administrative expenses. Add lines 13 through 23	4,089,501	1,203,675	0	3,088,125
	25 Contributions, gifts, grants paid	3,578,880			3,956,791
26 Total expenses and disbursements. Add lines 24 and 25	7,668,381	1,203,675	0	7,044,916	
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements	2,515,824				
b Net investment income (if negative, enter -0-)		9,587,821			
c Adjusted net income (if negative, enter -0-)			0		

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing			
	2 Savings and temporary cash investments	20,891,868	8,314,744	8,314,744
	3 Accounts receivable ▶ <u>55,469</u>			
	Less allowance for doubtful accounts ▶ _____	3,155	55,469	55,469
	4 Pledges receivable ▶ _____			
	Less allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ _____			
	Less allowance for doubtful accounts ▶ _____			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U S and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)	80,488,871	105,669,140	105,669,140
	c Investments—corporate bonds (attach schedule)	21,586,060	19,307,690	19,307,690
	11 Investments—land, buildings, and equipment basis ▶ _____			
Less accumulated depreciation (attach schedule) ▶ _____				
12 Investments—mortgage loans				
13 Investments—other (attach schedule)				
14 Land, buildings, and equipment basis ▶ <u>488,283</u>				
Less accumulated depreciation (attach schedule) ▶ <u>410,936</u>	121,625	77,347	77,347	
15 Other assets (describe ▶ _____)	6,639,979	7,771,318	7,771,318	
16 Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	129,731,558	141,195,708	141,195,708	
Liabilities	17 Accounts payable and accrued expenses	325,274	353,692	
	18 Grants payable	2,605,215	2,225,054	
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ _____)			
	23 Total liabilities (add lines 17 through 22)	2,930,489	2,578,746	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted	126,801,069	138,616,962	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here <input type="checkbox"/> and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg , and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
30 Total net assets or fund balances (see instructions)	126,801,069	138,616,962		
31 Total liabilities and net assets/fund balances (see instructions) .	129,731,558	141,195,708		

Part III Analysis of Changes in Net Assets or Fund Balances		
1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	126,801,069
2 Enter amount from Part I, line 27a	2	2,515,824
3 Other increases not included in line 2 (itemize) ▶ _____	3	9,300,069
4 Add lines 1, 2, and 3	4	138,616,962
5 Decreases not included in line 2 (itemize) ▶ _____	5	0
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	138,616,962

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a See Additional Data Table			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	2	8,205,198
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
 If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2016	7,852,817	123,286,602	0.063696
2015	8,451,846	134,529,115	0.062825
2014	6,509,196	140,313,722	0.046390
2013	5,427,176	132,374,024	0.040999
2012	6,614,196	124,458,087	0.053144

2 Total of line 1, column (d)	2	0.267054
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.053411
4 Enter the net value of noncharitable-use assets for 2017 from Part X, line 5	4	126,532,909
5 Multiply line 4 by line 3	5	6,758,249
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	95,878
7 Add lines 5 and 6	7	6,854,127
8 Enter qualifying distributions from Part XII, line 4	8	8,294,913

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

Table with 11 rows for excise tax calculations. Includes categories like 'Exempt operating foundations', 'Domestic foundations that meet the section 4940(e) requirements', and 'Tax based on investment income'. Total amount owed is 110,854.

Part VII-A Statements Regarding Activities

Table with 10 rows for activity statements. Questions include 'During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?' and 'Did the foundation have at least \$5,000 in assets at any time during the year?'. Includes 'Yes' and 'No' columns.

Part VII-A Statements Regarding Activities (continued)

Table with 3 columns: Question, Yes, No. Rows 11-14 regarding controlled entities, distributions, public inspection requirements, and books in care.

Located at 225 EAST MARKHAM STREET STE 200 LITTLE ROCK AR ZIP+4 72201

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year.

Table with 3 columns: Question, Yes, No. Row 16 regarding interest in foreign countries.

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

Main table with 3 columns: Question, Yes, No. Rows 1a-4b regarding Form 4720 exceptions and requirements.

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

<p>5a During the year did the foundation pay or incur any amount to</p> <p>(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>(3) Provide a grant to an individual for travel, study, or other similar purposes? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>			
<p>b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Organizations relying on a current notice regarding disaster assistance check here. <input type="checkbox"/></p>	5b	Yes	
<p>c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p> <p><i>If "Yes," attach the statement required by Regulations section 53.4945-5(d)</i></p>			
<p>6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p><i>If "Yes" to 6b, file Form 8870</i></p>	6b		No
<p>7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>	7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Additional Data Table				

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
CORY ANDERSON 225 EAST MARKHAM STREET STE 200 LITTLE ROCK, AR 72201	VICE-PRESIDENT 50 00	195,156	36,790	0
ANDREW FORD 225 EAST MARKHAM STREET STE 200 LITTLE ROCK, AR 72201	INTERIM SENIOR ASSOC 40 00	95,182	14,449	0
MICHAEL CASTENS 225 EAST MARKHAM STREET STE 200 LITTLE ROCK, AR 72201	GRANT AND OPERATIONS 40 00	78,285	14,480	0
LISA DIXON 225 EAST MARKHAM STREET STE 200 LITTLE ROCK, AR 72201	PROGRAM ASSOCIATE 40 00	68,230	20,512	0
SARAH MCBROOM 225 EAST MARKHAM STREET STE 200 LITTLE ROCK, AR 72201	PROGRAM ASSOCIATE 40 00	62,067	15,558	0
Total number of other employees paid over \$50,000.				2

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
THE NORTHERN TRUST COMPANY 50 SOUTH LASALLE ST CHICAGO, IL 60603	INVESTMENT CUSTODY/ MANAGEMENT SERVICES	188,265
CAMBRIDGE ASSOCIATES 125 HIGH STREET BOSTON, MA 021102112	INVESTMENT ADVISORY SERVICES	186,835
OAKVIEW CAPITAL MANAGEMENT 3879 MAPLE AVENUE STE 300 DALLAS, TX 75219	INVESTMENT ADVISORY SERVICES	67,750
MANAGEMENT ASSISTANCE GROUP 1155 F STREET NW STE 1050 WASHINGTON, DC 20004	PHILANTHROPIC-SECTOR CONSULTING	57,500
DOXA TOTAL DESIGN STRATEGY INC 311 DICKSON STREET SUITE 202 FAYETTEVILLE, AR 727015144	ANNUAL REPORT DESIGN	54,709
Total number of others receiving over \$50,000 for professional services.		1

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 SEE STATEMENT 18	197,340
2 SEE STATEMENT 18	134,224
3 SEE STATEMENT 18	173,772
4 SEE STATEMENT 18	144,336

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 INCREASED INVESTMENT IN SOUTHERN BANCORP	249,997
2 LOAN MADE TO SOUTHERN COMMUNITY PARTNERS	1,000,000
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3	1,249,997

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities.	1a	123,372,430
b	Average of monthly cash balances.	1b	5,087,376
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	128,459,806
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	128,459,806
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	1,926,897
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	126,532,909
6	Minimum investment return. Enter 5% of line 5.	6	6,326,645

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	6,326,645
2a	Tax on investment income for 2017 from Part VI, line 5.	2a	95,878
b	Income tax for 2017 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	95,878
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	6,230,767
4	Recoveries of amounts treated as qualifying distributions.	4	111,232
5	Add lines 3 and 4.	5	6,341,999
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	6,341,999

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	7,044,916
b	Program-related investments—total from Part IX-B.	1b	1,249,997
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	4	8,294,913
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions).	5	95,878
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	8,199,035

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2016	(c) 2016	(d) 2017
1 Distributable amount for 2017 from Part XI, line 7				6,341,999
2 Undistributed income, if any, as of the end of 2017				
a Enter amount for 2016 only.			0	
b Total for prior years 20___, 20___, 20___		0		
3 Excess distributions carryover, if any, to 2017				
a From 2012. 420,036				
b From 2013.				
c From 2014.				
d From 2015. 1,847,793				
e From 2016. 1,698,611				
f Total of lines 3a through e.	3,966,440			
4 Qualifying distributions for 2017 from Part XII, line 4 ▶ \$ 8,294,913				
a Applied to 2016, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2017 distributable amount.				6,341,999
e Remaining amount distributed out of corpus	1,952,914			
5 Excess distributions carryover applied to 2017 (If an amount appears in column (d), the same amount must be shown in column (a))		0		0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	5,919,354			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2016 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2017 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2018				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).	89,000			
8 Excess distributions carryover from 2012 not applied on line 5 or line 7 (see instructions).	331,036			
9 Excess distributions carryover to 2018. Subtract lines 7 and 8 from line 6a	5,499,318			
10 Analysis of line 9				
a Excess from 2013.				
b Excess from 2014.				
c Excess from 2015. 1,847,793				
d Excess from 2016. 1,698,611				
e Excess from 2017. 1,952,914				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2017, enter the date of the ruling. ▶

b Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2017	(b) 2016	(c) 2015	(d) 2014	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed
 ONLINE AT WWWWRFOUNDATIONORG
 225 EAST MARKHAM STREET SUITE 200
 LITTLE ROCK, AR 72201
 (501) 376-6854

b The form in which applications should be submitted and information and materials they should include
 SEE STATEMENT 19

c Any submission deadlines
 SEE STATEMENT 19

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors
 SEE STATEMENT 19

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i> SEE STATEMENT 20 VARIOUS LITTLE ROCK, AR 72201			SEE STATEMENT 20	3,956,791
Total ▶ 3a				3,956,791
b <i>Approved for future payment</i> SEE STATEMENT 20 VARIOUS LITTLE ROCK, AR 72201			SEE STATEMENT 20	1,302,500
Total ▶ 3b				1,302,500

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

Part XVII

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

a Transfers from the reporting foundation to a noncharitable exempt organization of

- (1) Cash
(2) Other assets.

b Other transactions

- (1) Sales of assets to a noncharitable exempt organization.
(2) Purchases of assets from a noncharitable exempt organization.
(3) Rental of facilities, equipment, or other assets.
(4) Reimbursement arrangements.
(5) Loans or loan guarantees.
(6) Performance of services or membership or fundraising solicitations.

c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

Table with 3 columns: Question, Yes, No. Rows correspond to items 1a(1), 1a(2), 1b(1) through 1b(6), and 1c.

Table with 4 columns: (a) Line No, (b) Amount involved, (c) Name of noncharitable exempt organization, (d) Description of transfers, transactions, and sharing arrangements.

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? [] Yes [x] No

b If "Yes," complete the following schedule

Table with 3 columns: (a) Name of organization, (b) Type of organization, (c) Description of relationship.

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Sign Here

Signature line with asterisks, date 2018-08-16, and title line with asterisks.

May the IRS discuss this return with the preparer shown below (see instr)? [x] Yes [] No

Paid Preparer Use Only

Table for paid preparer information: Print/Type preparer's name (MICHELLE MANN), Preparer's Signature, Date (2018-08-16), Check if self-employed, PTIN (P01064483), Firm's name (HOGANTAYLOR LLP), Firm's EIN (73-1413977), Firm's address (11300 CANTRELL ROAD SUITE 301, LITTLE ROCK, AR 72212), Phone no (501) 227-5800.

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
ADVISORY - SHORT TERM (PUBLICLY-TRADED SECURITIES)	P		
ADVISORY - LONG TERM (PUBLICLY-TRADED SECURITIES)	P		
RANGER - LONG TERM (PUBLICLY-TRADED SECURITIES)	P		
ARTISAN - SHORT TERM (PUBLICLY-TRADED SECURITIES)	P		
ARTISAN - LONG TERM (PUBLICLY-TRADED SECURITIES)	P		
CBRE - SHORT TERM (PUBLICLY-TRADED SECURITIES)	P		
CBRE - LONG TERM (PUBLICLY-TRADED SECURITIES)	P		
BRECKINRIDGE - SHORT TERM (PUBLICLY-TRADED SECURITIES)	P		
BRECKINRIDGE - LONG TERM (PUBLICLY-TRADED SECURITIES)	P		
OAKVIEW 67972 - SHORT TERM (PUBLICLY-TRADED SECURITIES)	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,217,907		2,205,611	12,296
3,380,527		2,525,134	855,393
1,926,709		1,120,090	806,619
213,790		179,465	34,325
14,311,667		10,141,587	4,170,080
3,719,821		3,666,665	53,156
3,099,351		1,839,730	1,259,621
486,004		489,769	-3,765
684,704		688,878	-4,174
449,057		458,118	-9,061

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			12,296
			855,393
			806,619
			34,325
			4,170,080
			53,156
			1,259,621
			-3,765
			-4,174
			-9,061

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
CONE MIDSTREAM PARTNERS	P	2016-06-23	2017-01-25
OAKVIEW 50201 - SHORT TERM (PUBLICLY-TRADED SECURITIES)	P		
OAKVIEW 50201 - LONG TERM (PUBLICLY-TRADED SECURITIES)	P		
MFB NTGI COMMON DAILY AGGREGATE BOND INDEX FUND	P	2016-10-31	2017-03-27
MFB NTGI-QM COM DAILY RUSSELL 1000 GROWTH EQTY INDEX FD	P	2017-11-30	2017-12-21
MFB NTGI COMMON DAILY AGGREGATE BOND INDEX FUND	P	2015-04-30	2017-03-27
MFB NTGI COMMON DAILY AGGREGATE BOND INDEX FUND	P	2016-03-31	2017-09-20
MFB NTGI-QM COM DAILY RUSSELL 1000 GROWTH EQTY INDEX FD	P	2016-11-30	2017-12-21
MFB NTGI COMMON DAILY AGGREGATE BOND INDEX FUND (PUBLICLY-TRADED SECURITIES)	P		
MFB CF NTGI-QM COM DAILY RUSSELL 1000 VALUE (PUBLICLY-TRADED SECURITIES)	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
140,669		103,897	36,772
807,085		851,540	-44,455
730,747		482,264	248,483
1,163,749		1,188,122	-24,373
185,864		166,136	19,728
836,251		855,220	-18,969
900,000		910,774	-10,774
159,136		114,504	44,632
		13,315	-13,315
131,486			131,486

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			36,772
			-44,455
			248,483
			-24,373
			19,728
			-18,969
			-10,774
			44,632
			-13,315
			131,486

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
MFB NTGI-QM COM DAILY RUSSELL 1000 GROWTH E (PUBLICLY-TRADED SECURITIES)	P		
MFB NTGI COMMON DAILY AGGREGATE BOND INDEX FUND (PUBLICLY-TRADED SECURITIES)	P		
MFB CF NTGI-QM COM DAILY RUSSELL 1000 VALUE (PUBLICLY-TRADED SECURITIES)	P		
MFB NTGI-QM COM DAILY RUSSELL 1000 GROWTH E (PUBLICLY-TRADED SECURITIES)	P		
P/T DSV II L/T (SEE STMT 16B)	P		
P/T DSV III L/T (SEE STMT 16D)	P		
P/T RENAISSANCE S/T (SEE STMT 16G)	P		
P/T RENAISSANCE L/T (SEE STMT 16G)	P		
P/T GQG S/T (SEE STMT 16J)	P		
P/T GQG L/T (SEE STMT 16J)	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
32,172			32,172
7,203			7,203
189,697			189,697
391,422			391,422
52,060			52,060
		24,681	-24,681
129,291			129,291
268,928			268,928
		141,467	-141,467
8,396			8,396

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			32,172
			7,203
			189,697
			391,422
			52,060
			-24,681
			129,291
			268,928
			-141,467
			8,396

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
P/T CIM S/T (SEE STMT 16L)	P		
P/T CIM L/T (SEE STMT 16L)	P		
P/T MONDRIAN S/T (SEE STMT 16A)	P		
P/T MONDRIAN L/T (SEE STMT 16A)	P		
P/T NEWTYN S/T (SEE STMT 16H)	P		
P/T NEWTYN L/T (SEE STMT 16H)	P		
RANGER - SHORT TERM (PUBLICLY-TRADED SECURITIES)	P		
P/T FAF L/T (SEE STMT 16C)	P		
P/T CNX L/T (SEE STMT 16E)	P		
CAPITAL GAINS DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
		27,503	-27,503
26			26
		5,263	-5,263
		509,999	-509,999
		49,578	-49,578
108,811			108,811
1,337,043		1,321,506	15,537
		5	-5
		46	-46
216,492			216,492

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-27,503
			26
			-5,263
			-509,999
			-49,578
			108,811
			15,537
			-5
			-46
			216,492

Form 990FP Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation				
(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
SHERECE WEST-SCANTLEBURY	PRESIDENT & CEO 50 00	353,078	47,463	0
225 EAST MARKHAM STREET STE 200 LITTLE ROCK, AR 72201				
ANDREA DOBSON	COFO 50 00	258,577	49,734	0
225 EAST MARKHAM STREET STE 200 LITTLE ROCK, AR 72201				
JERRY ADAMS	DIRECTOR 3 53	0	0	0
225 EAST MARKHAM STREET STE 200 LITTLE ROCK, AR 72201				
LISENNE ROCKEFELLER	DIRECTOR 2 24	0	0	0
225 EAST MARKHAM STREET STE 200 LITTLE ROCK, AR 72201				
DAVID RAINEY	CHAIRMAN 5 51	0	0	0
225 EAST MARKHAM STREET STE 200 LITTLE ROCK, AR 72201				
KILOLO KIJAKAZI	DIRECTOR 2 25	0	0	0
225 EAST MARKHAM STREET STE 200 LITTLE ROCK, AR 72201				
ROSA VELAZQUEZ	DIRECTOR 1 64	0	0	0
225 EAST MARKHAM STREET STE 200 LITTLE ROCK, AR 72201				
REGAN GRUBER MOFFITT	BOARD SECRETARY AND VICE P 50 00	165,240	28,265	0
225 EAST MARKHAM STREET STE 200 LITTLE ROCK, AR 72201				
FREDDIE BLACK	DIRECTOR 3 28	0	0	0
225 EAST MARKHAM STREET STE 200 LITTLE ROCK, AR 72201				
HELEN DORADO ALESSI	DIRECTOR 2 25	0	0	0
225 EAST MARKHAM STREET STE 200 LITTLE ROCK, AR 72201				
CALVIN WHITE	VICE CHAIRMAN 4 50	0	0	0
225 EAST MARKHAM STREET STE 200 LITTLE ROCK, AR 72201				
MIKE MALONE	DIRECTOR 4 50	0	0	0
225 EAST MARKHAM STREET STE 200 LITTLE ROCK, AR 72201				
CHRIS VINCENT	DIRECTOR 2 20	0	0	0
225 EAST MARKHAM STREET STE 200 LITTLE ROCK, AR 72201				
CHRIS CARDONA	DIRECTOR 2 24	0	0	0
225 EAST MARKHAM STREET STE 200 LITTLE ROCK, AR 72201				
WIN ROCKEFELLER JR	DIRECTOR 2 24	0	0	0
225 EAST MARKHAM STREET STE 200 LITTLE ROCK, AR 72201				

TY 2017 Accounting Fees Schedule**Name:** THE WINTHROP ROCKEFELLER FOUNDATION**EIN:** 71-0285871**Accounting Fees Schedule**

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING	11,184	0	0	11,184
AUDIT	32,466	24,000	0	8,466
TAX PREPARATION	11,300	0	0	11,300

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2017 Expenditure Responsibility Statement

Name: THE WINTHROP ROCKEFELLER FOUNDATION

EIN: 71-0285871

Grantee's Name	Grantee's Address	Grant Date	Grant Amount	Grant Purpose	Amount Expended By Grantee	Any Diversion By Grantee?	Dates of Reports By Grantee	Date of Verification	Results of Verification
BATESVILLE AREA CHAMBER OF COMMERCE	409 VINE STREET BATESVILLE, AR 72501	2016-06-24	30,000	SEE STATMENT 21	57,020				
MARY REYNOLDS BABCOCK FOUNDATION INC	2920 REYNOLDA ROAD WINSTONSALEM, NC 27106	2016-09-29	0	SEE STATMENT 21	166,666				

TY 2017 Investments Corporate Bonds Schedule**Name:** THE WINTHROP ROCKEFELLER FOUNDATION**EIN:** 71-0285871**Investments Corporate Bonds Schedule**

Name of Bond	End of Year Book Value	End of Year Fair Market Value
NORTHERN TRUST BARCLAYS AGG BOND FUND	7,647,825	7,647,825
CCM FIXED INCOME FUND	5,904,594	5,904,594
BRECKINRIDGE CAPITAL FIXED INCOME	5,755,271	5,755,271

TY 2017 Investments Corporate Stock Schedule**Name:** THE WINTHROP ROCKEFELLER FOUNDATION**EIN:** 71-0285871

Name of Stock	End of Year Book Value	End of Year Fair Market Value
CBRE REITS	24	24
NTGI RUSSELL 1000 GROWTH EQUITY	12,188,015	12,188,015
RUSSELL 1000 VALUE EQUITIES	11,918,868	11,918,868
OAKVIEW EQUITY	4,891,636	4,891,636
KABOUTER INT'L OPP OFFSHORE FUND EQUITY	4,631,682	4,631,682
BROWN SUSTAINABLE GROWTH EQUITY	5,586,658	5,586,658
ARIEL INT'L FUND EQUITY	13,879,181	13,879,181
BLACKROCK EQUITY	4,408,739	4,408,739
AQR STYLE PREMIA EQUITY	1,670,447	1,670,447
FORT GLOBAL OFFSHORE FUND EQUITY	1,039,976	1,039,976
COATUE	1,680,132	1,680,132
LAKWOOD	1,702,876	1,702,876
SENTINEL DOME	1,730,414	1,730,414
COMPLUS ASIA	1,615,599	1,615,599
VARADERO	1,677,894	1,677,894
HOLLIS PARK	1,608,885	1,608,885
GQG INT'L FUND EQUITY	15,904,441	15,904,441
BLACKROCK ISHARES EQUITY	11,028,304	11,028,304
CIM ENTERPRISE LOAN FUND	3,030,626	3,030,626
LONG POND OFFSHORE FUND EQUITY	3,265,972	3,265,972
ELIZABETH PARK CAPITAL OFFSHORE	1,700,000	1,700,000
BAIN CAPITAL DOUBLE IMPACT PRIVATE PARTNERSHIP	163,771	163,771
MATERIAL IMPACT	345,000	345,000

TY 2017 Legal Fees Schedule**Name:** THE WINTHROP ROCKEFELLER FOUNDATION**EIN:** 71-0285871

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LEGAL SERVICES	13,448	9,110	0	4,338

TY 2017 Other Assets Schedule**Name:** THE WINTHROP ROCKEFELLER FOUNDATION**EIN:** 71-0285871**Other Assets Schedule**

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
PROGRAM RELATED INVESTMENTS	6,472,933	7,611,695	7,611,695
ACCRUED INTEREST RECEIVABLE	144,796	137,373	137,373
WRCT IN LIEU OF GRANT RECEIVABLE	22,250	22,250	22,250

TY 2017 Other Expenses Schedule**Name:** THE WINTHROP ROCKEFELLER FOUNDATION**EIN:** 71-0285871**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BANK CHARGES	70	0	0	70
INSURANCE	18,757	0	0	18,757
POSTAGE	722	0	0	722
DUES & SUBSCRIPTIONS	112,327	0	0	112,327
OFFICE EXPENSE	94,569	0	0	94,569
PROGRAM EXPENSES	349,699	0	0	349,699
ACCRUAL TO CASH	0	0	0	-23,863
PASSTHROUGH PORTFOLIO DEDUCTIONS	0	233,694	0	0
COMPUTER TRAINING	563	0	0	563
CONVENTIONS AND MEETINGS	198,868	0	0	198,868

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
PROFESSIONAL DEVELOPMENT	73,028	0	0	73,028
TRUSTEE OPERATIONS EXPENSE	41,956	0	0	41,956
PASSTHROUGH INVESTMENT LOSSES	0	20,813	0	0

TY 2017 Other Increases Schedule**Name:** THE WINTHROP ROCKEFELLER FOUNDATION**EIN:** 71-0285871

Description	Amount
UNREALIZED GAINS/(LOSSES) ON INVESTMENTS	9,300,069

TY 2017 Other Professional Fees Schedule**Name:** THE WINTHROP ROCKEFELLER FOUNDATION**EIN:** 71-0285871

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
CASH ACCOUNT MANAGEMENT FEES	0	268	0	0
INVESTMENT OVERSIGHT	247,936	247,936	0	0
MANAGEMENT FEE - REITS	0	6,304	0	0
RANGER INV MGMT FEES	16,701	17,750	0	0
CBRE MANAGEMENT FEE	26,302	26,302	0	0
TRUSTEE FEES - NT BOND INDEX	0	3,598	0	0
CUSTODIAL BANK FEES	139,315	139,315	0	0
PROFESSIONAL FEES - NT BOND INDEX	0	989	0	0
NT INVESTMENT MANAGEMENT FEES	19,003	19,003	0	0
ADVISORY RESEARCH MANAGEMENT FEE	30,504	41,141	0	0
BRECKINRIDGE INVESTMENT MANAGEMENT FEES	5,165	6,430	0	0
OAKVIEW MANAGEMENT FEE	75,608	67,750	0	0
OUTCOMES ASSESSMENT	89,538	0	0	89,538
OTHER CONSULTING	6,333	0	0	6,333
GRANTEE TECHNICAL ASSISTANCE	119,333	0	0	119,333

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BAIN CAPITAL MANAGEMENT FEE	7,804	0	0	0

TY 2017 Taxes Schedule**Name:** THE WINTHROP ROCKEFELLER FOUNDATION**EIN:** 71-0285871

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES	0	71,326	0	0
EXCISE TAXES	166,042	0	0	0

Schedule B
(Form 990, 990-EZ,
or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors
▶ Attach to Form 990, 990-EZ, or 990-PF
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at
www.irs.gov/form990

OMB No 1545-0047
2017

Name of the organization
THE WINTHROP ROCKEFELLER FOUNDATION

Employer identification number
71-0285871

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

- 501(c)() (enter number) organization
- 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
- 527 political organization

Form 990-PF

- 501(c)(3) exempt private foundation
- 4947(a)(1) nonexempt charitable trust treated as a private foundation
- 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or other property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33¹ 3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. ▶ \$ _____

Caution. An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization THE WINTHROP ROCKEFELLER FOUNDATION	Employer identification number 71-0285871
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Part I **Contributors** (See instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	TRUST UW WINTHROP ROCKEFELLER 2230 COTTONDALE LANE SUITE 6 LITTLE ROCK, AR 72202	\$ 89,000	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)

Name of organization THE WINTHROP ROCKEFELLER FOUNDATION	Employer identification number 71-0285871
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Part II Noncash Property (See instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
_____	_____ _____ _____	_____ \$	_____
_____	_____ _____ _____	_____ \$	_____
_____	_____ _____ _____	_____ \$	_____
_____	_____ _____ _____	_____ \$	_____
_____	_____ _____ _____	_____ \$	_____
_____	_____ _____ _____	_____ \$	_____
_____	_____ _____ _____	_____ \$	_____
_____	_____ _____ _____	_____ \$	_____
_____	_____ _____ _____	_____ \$	_____

Name of organization
THE WINTHROP ROCKEFELLER FOUNDATION

Employer identification number
71-0285871

Part III *Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.)* ▶ \$ _____
Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	_____	_____	_____
	_____	_____	_____

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee
_____	_____
_____	_____

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	_____	_____	_____
	_____	_____	_____

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee
_____	_____
_____	_____

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	_____	_____	_____
	_____	_____	_____

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee
_____	_____
_____	_____

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	_____	_____	_____
	_____	_____	_____

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee
_____	_____
_____	_____